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# MULTISCALE THERMAL MODELS OF NANOSTRUCTURED DEVICES

Giuseppe Romano

PhD dissertation

XXII CICLO

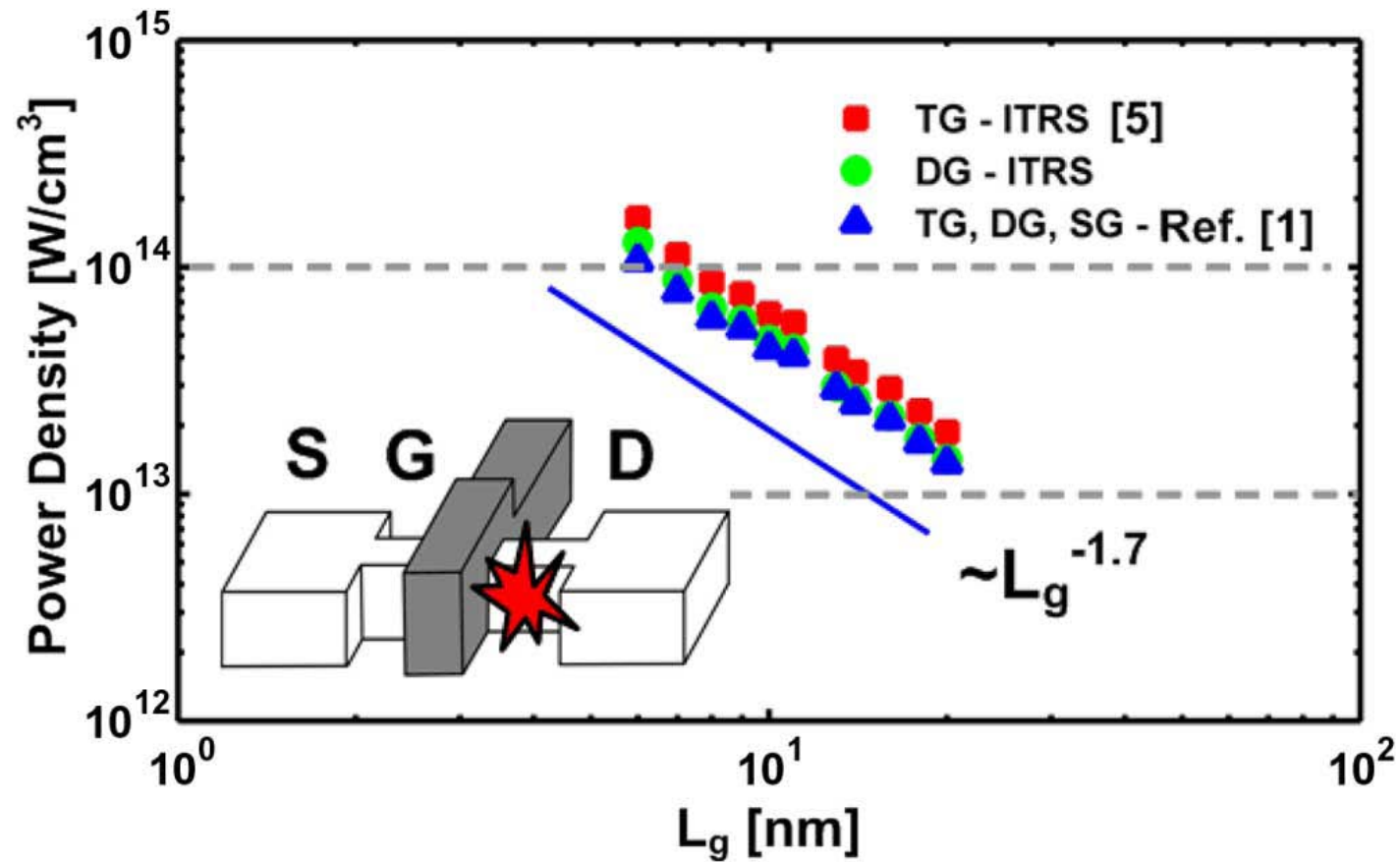
TUTOR:

Prof. Aldo Di Carlo

COORDINATOR:

Prof. Giuseppe Bianchi

# INTRODUCTION



Goodson et al. IEEE Elect. Lett. (2008)



# OUTLINE

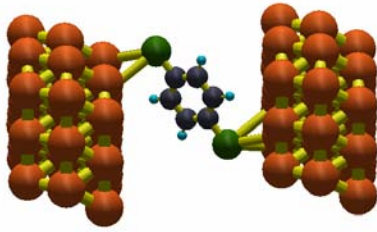
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- Introduction
- Heat transfer at the nano scale
- Heat transfer at the meso scale
- Heat transfer at the macro scale
- Multiscale model
- Conclusion

# Scales

Phonon wavelength

$$\lambda \approx 10nm$$



Nanoscale

$P_D$

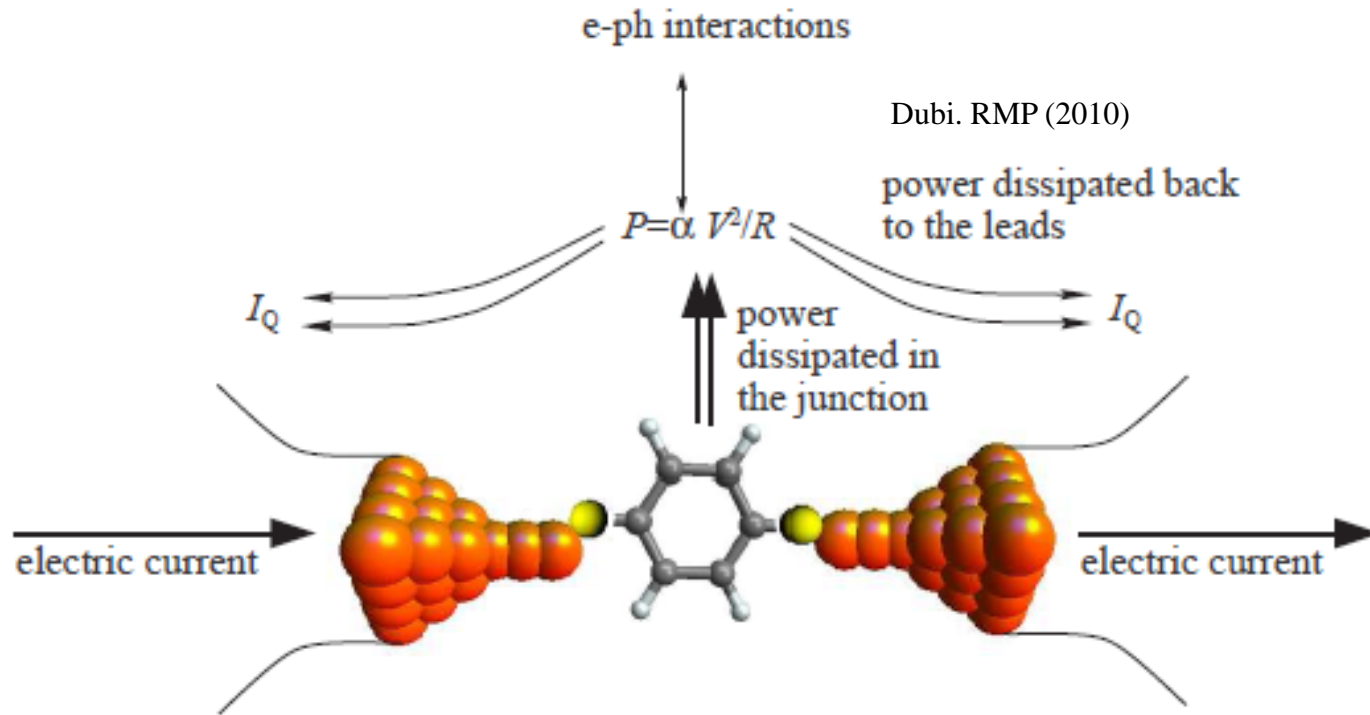
?

$P_E$

?

L

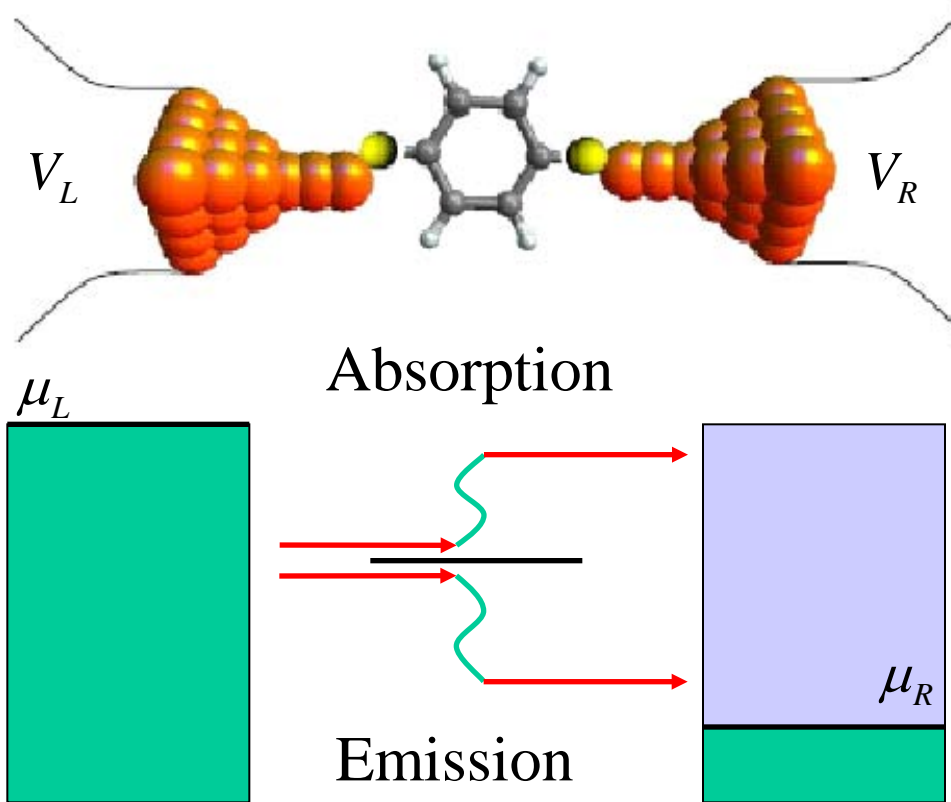
# Heat balance at the nanoscale



The internal energy is stored and dissipated by phonons

The vibration is splitted in  $3N-6$  normal modes (q-labeled).

# Heating at the nanoscale



[ A. Pecchia et al. Report on Progress in Physics (2004) ]

# Cross sections

## Phonon-phonon interaction

[G. Romano, A. Pecchia and A. Di Carlo *J. of Physics: cond. matt.* (2007)]

$$P_D^q = \hbar \omega_q \sum_{\alpha} \Lambda_q^{\alpha} (N_q - n_q^{\alpha})$$

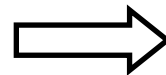
$$\Rightarrow N_q \Rightarrow T_{mol}$$

$$P_E^q = \hbar \omega_q [E_q (N_q + 1) - A_q N_q]$$

## Electron-phonon interaction

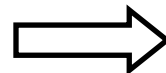
[A. Pecchia, G. Romano and Aldo Di Carlo *Physical Review B* (2007)]

Transport model



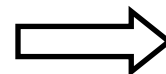
Non equilibrium Green's Function (NEGF)

Ground state



Density Functional Theory (DFT)

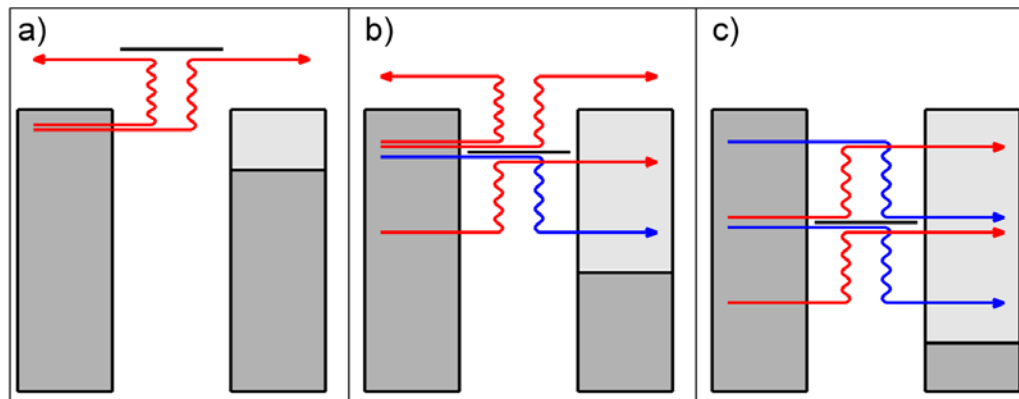
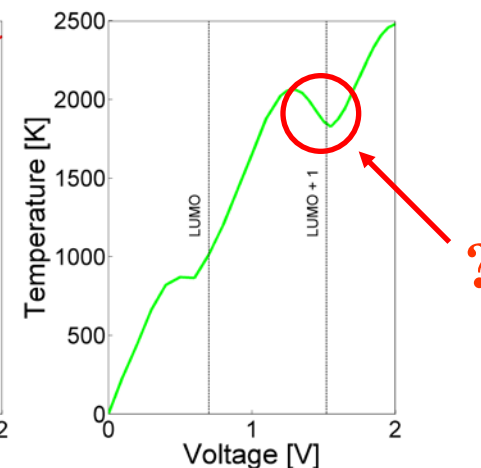
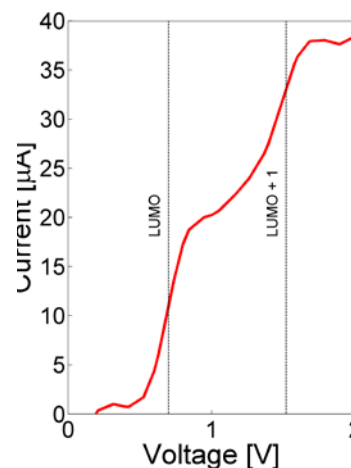
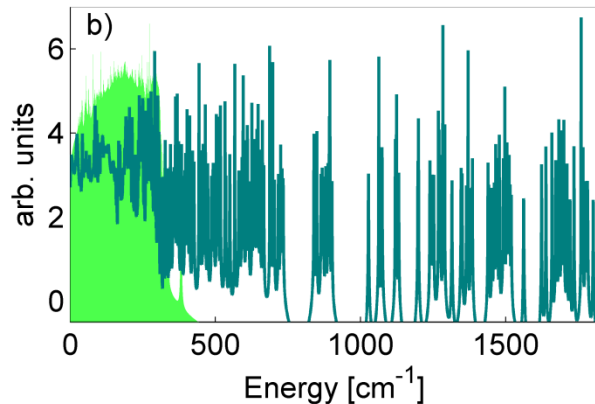
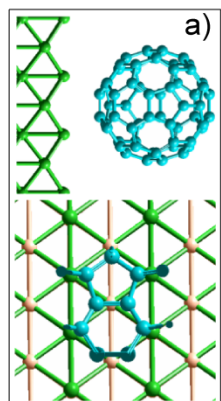
Electron-phonon  
interaction



Born Approximation (BA)



# Resonance effect

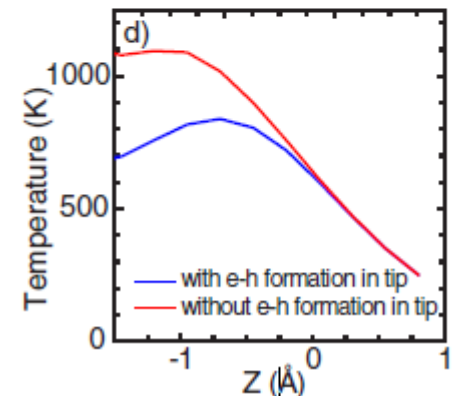
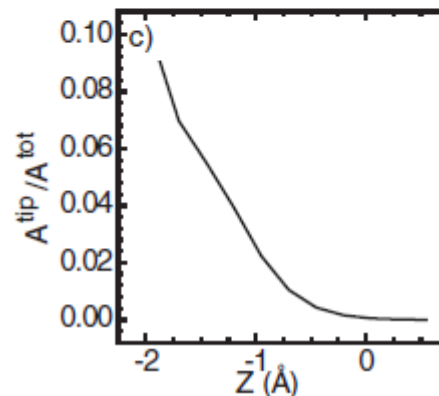
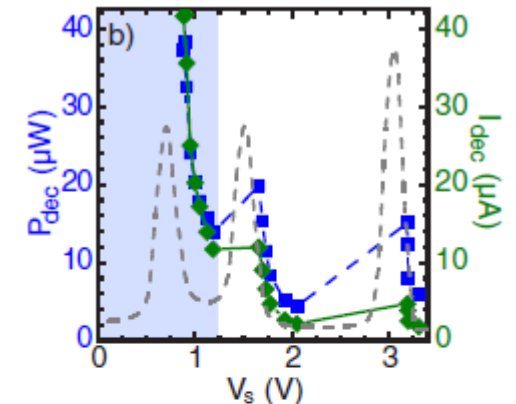
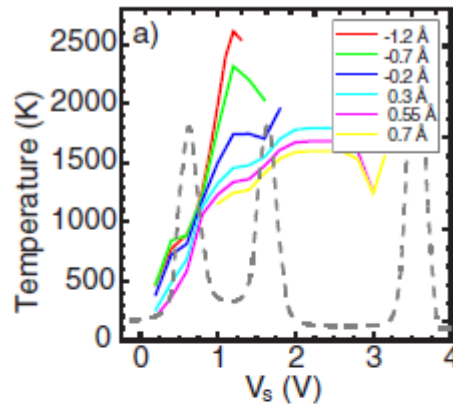
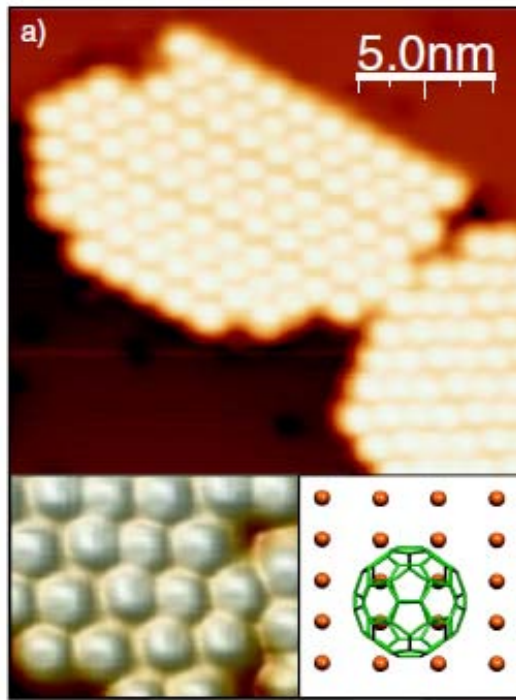


The absorption can prevail over the emission and cools the molecule

[G. Romano, A. Gagliardi, A. Pecchia and Aldo Di Carlo et al. **Physical Review B** (2010)]

# Collaboration with experimentalists

## Thermal instability of fullerenes

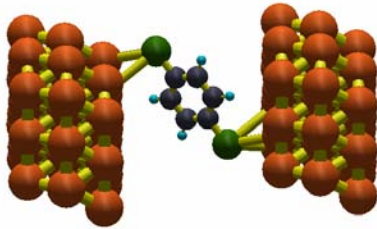


[G. Schulze, K. Franke, A. Gagliardi, G. Romano, C. Lin, A. Rosa, T. A. Niehaus, T. Frauenheim, A. Di Carlo, A. Pecchia and J. Pascual. *Physical Review Letters* (2009)]

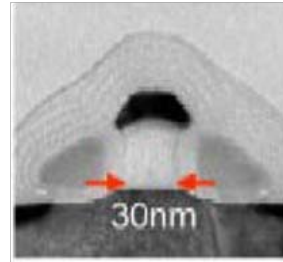
# Scales

Phonon wavelength  
 $\lambda \approx 10nm$

Phonon mean free path  
 $\Lambda \approx 100nm$



Nanoscale



Mesoscale

$$P_D \quad \sum_q \sum_\alpha \Lambda_q^\alpha (N_q - n_q^\alpha)$$

?

$$P_E \quad \sum_q E_q (N_q + 1) - A_q N_q$$

?

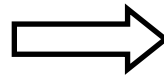
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# Heat balance at the mesoscale: the gray model

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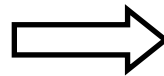
$$v_g \nabla(\vec{s} e'') = \frac{e^0 - e''}{\tau} + \tilde{H}$$

Equilibrium energy



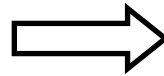
$$e^0 = \frac{1}{4\pi} \int_{4\pi} e'' d\Omega$$

Thermal flux



$$\vec{J} = \int_{4\pi} \vec{s} v_g e'' d\Omega$$

Temperature



$$T = T_0 + \frac{4\pi e^0}{C}$$

- All phonons are assumed to have the same group velocity (sound velocity)
- Relaxation time is independent of energy phonons.
- Discontinuous Galerkin Method

[Sreekant et al. Heat and Mass Transfer (2006)]

# Equilibrium energy

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- Since the equilibrium energy depends on the solution itself a self consistent loop is required.
- The first guess of the equilibrium energy is a key point for the convergence speed.

Usual choice:

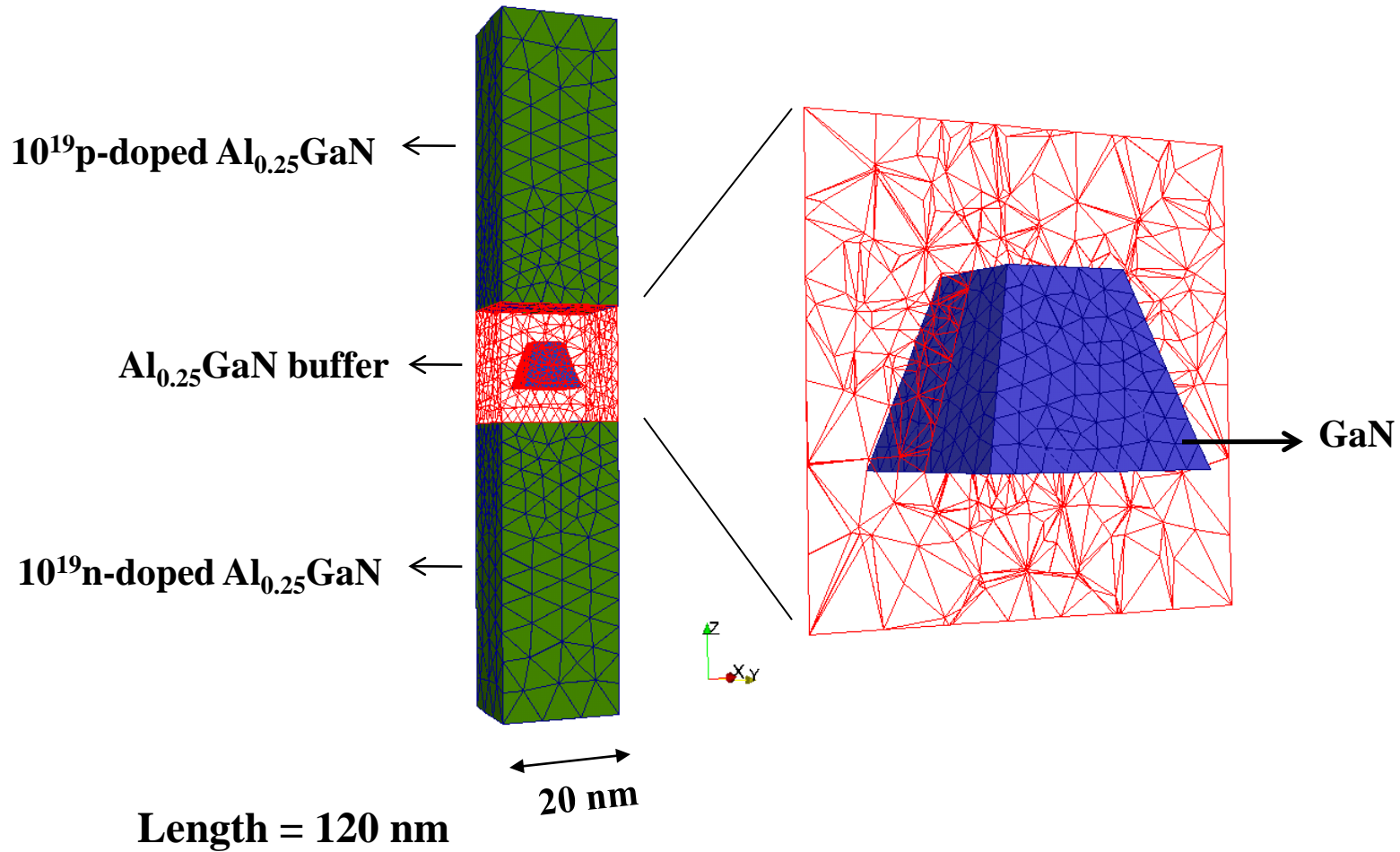
constant value  $\Rightarrow$  1000 steps

This work:

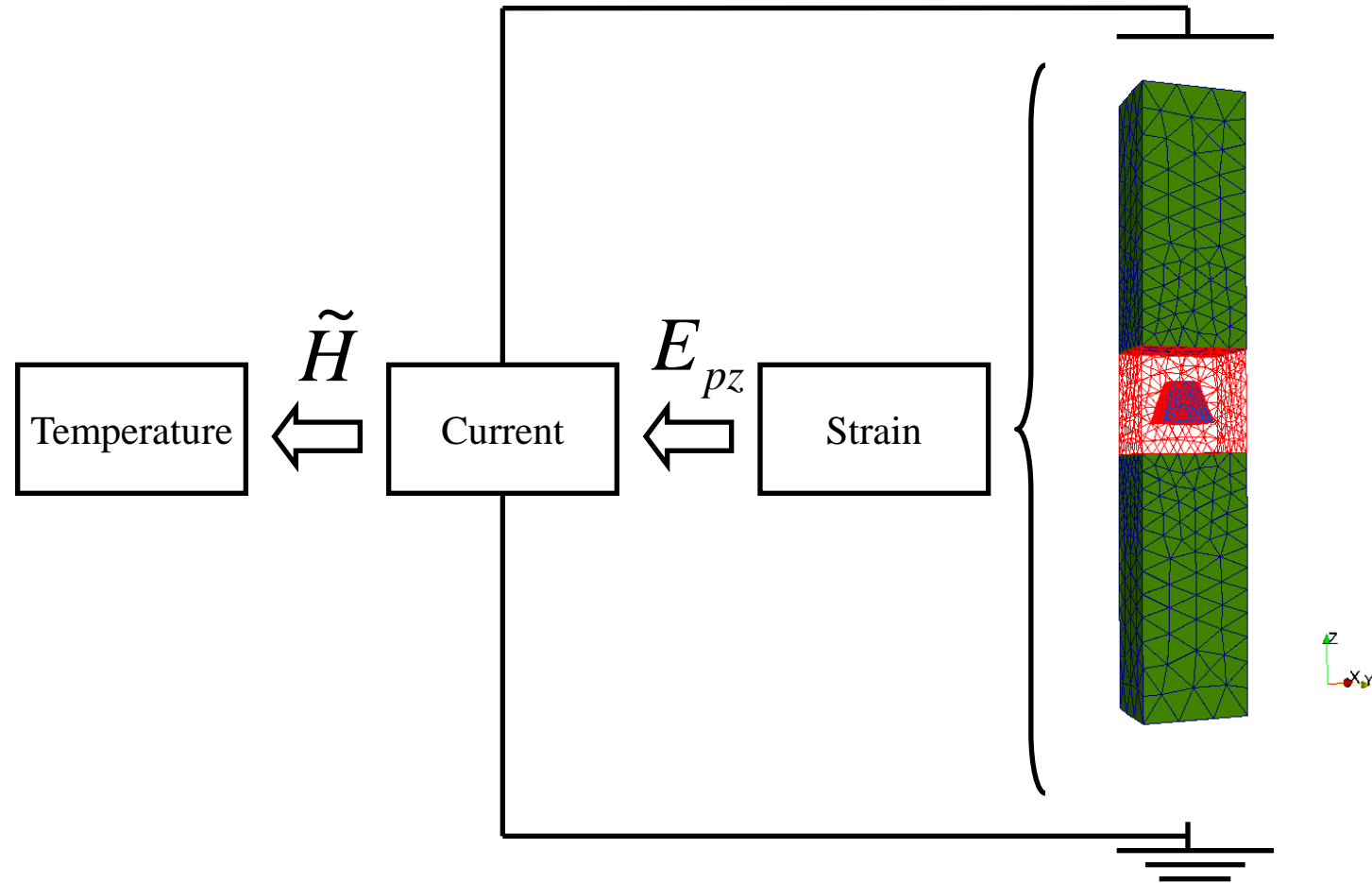
Fourier simulation  $\Rightarrow$  10 steps

This approach speeds the convergence up to 100 times

# GaN quantum dot

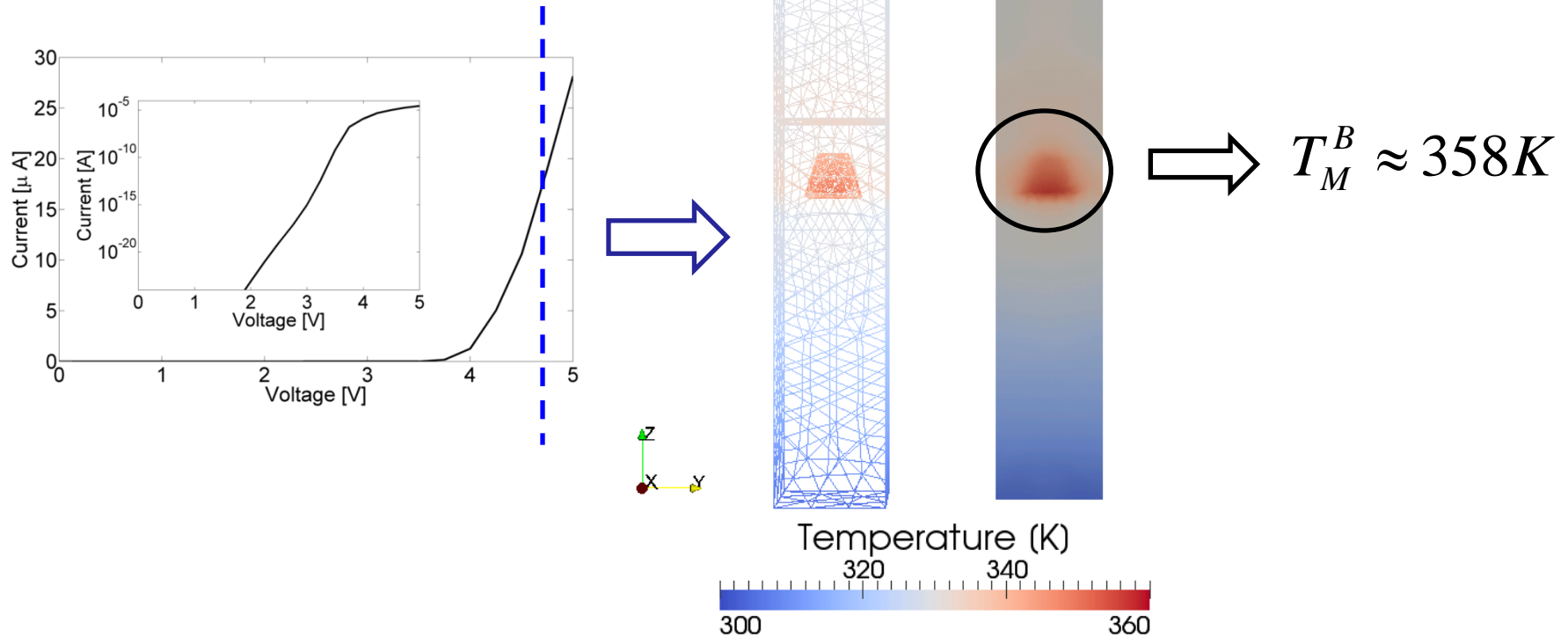


# GaN quantum dot: meso results



# GaN quantum dot: meso results

The solid angle is discretized in 18 slices

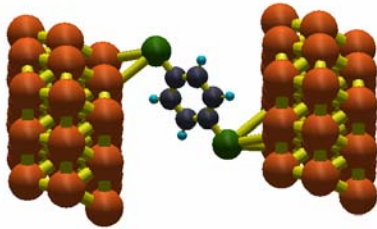




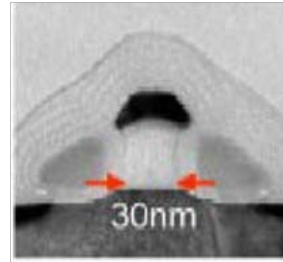
# Scales

Phonon wavelength  
 $\lambda \approx 10nm$

Phonon mean free path  
 $\Lambda \approx 100nm$



Nanoscale



Mesoscale



Macroscale

			L →
$P_D$	$\sum_q \sum_\alpha \Lambda_q^\alpha (N_q - n_q^\alpha)$	$\int_{4\pi} \nabla \cdot (v_g e''s) d\Omega$	?
$P_E$	$\sum_q E_q (N_q + 1) - A_q N_q$	$\int_{4\pi} \tilde{H}(\Omega) d\Omega$	?

# Thermal transport at the macroscales

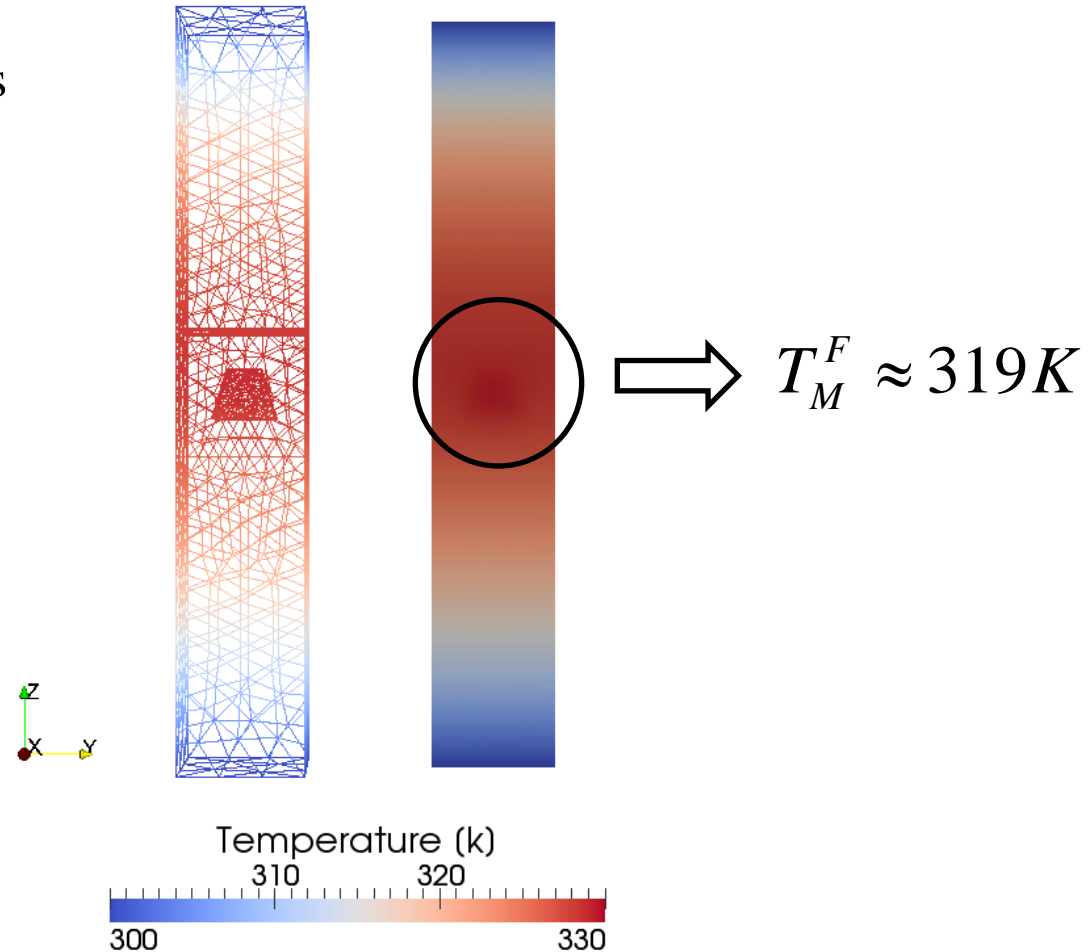
Irreversible thermodynamics  
Onsager reciprocity relationships  
Local thermal equilibrium  
Standard Galerkin Method

$$\nabla \cdot (\kappa \nabla T) = H$$

$$H_n = \frac{|J_n|^2}{\sigma_n}$$

$$H_p = \frac{|J_p|^2}{\sigma_p}$$

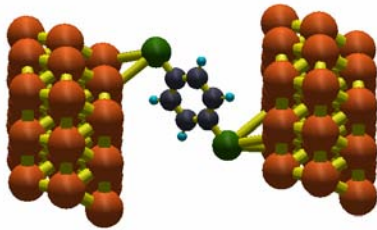
$$H_{rec} = e(\phi_n - \phi_p)R$$



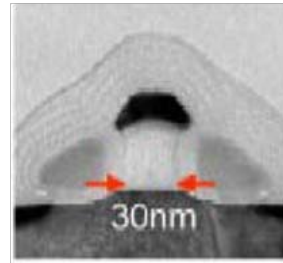
# Scales

Phonon wavelength  
 $\lambda \approx 10nm$

Phonon mean free path  
 $\Lambda \approx 100nm$



Nanoscale

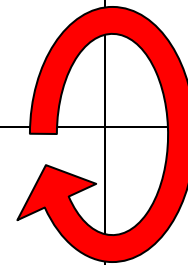


Mesoscale



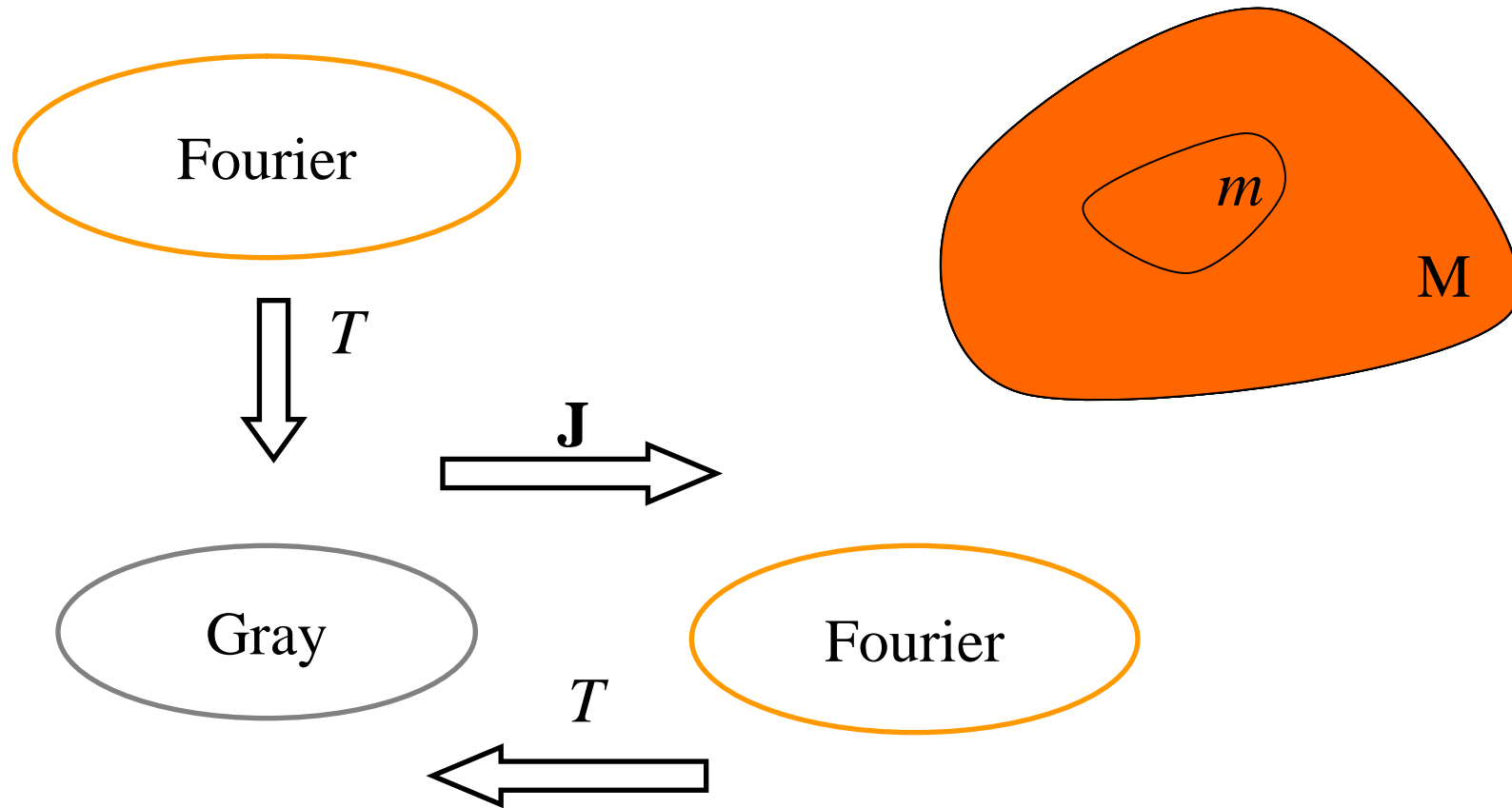
Macroscale

			L
$P_D$	$\sum_q \sum_\alpha \Lambda_q^\alpha (N_q - n_q^\alpha)$	$\int_{4\pi} \nabla \cdot (v_g e''s) d\Omega$	$\nabla \cdot (k \nabla T)$
$P_E$	$\sum_q E_q (N_q + 1) - A_q N_q$	$\int_{4\pi} \tilde{H}(\Omega) d\Omega$	$H$

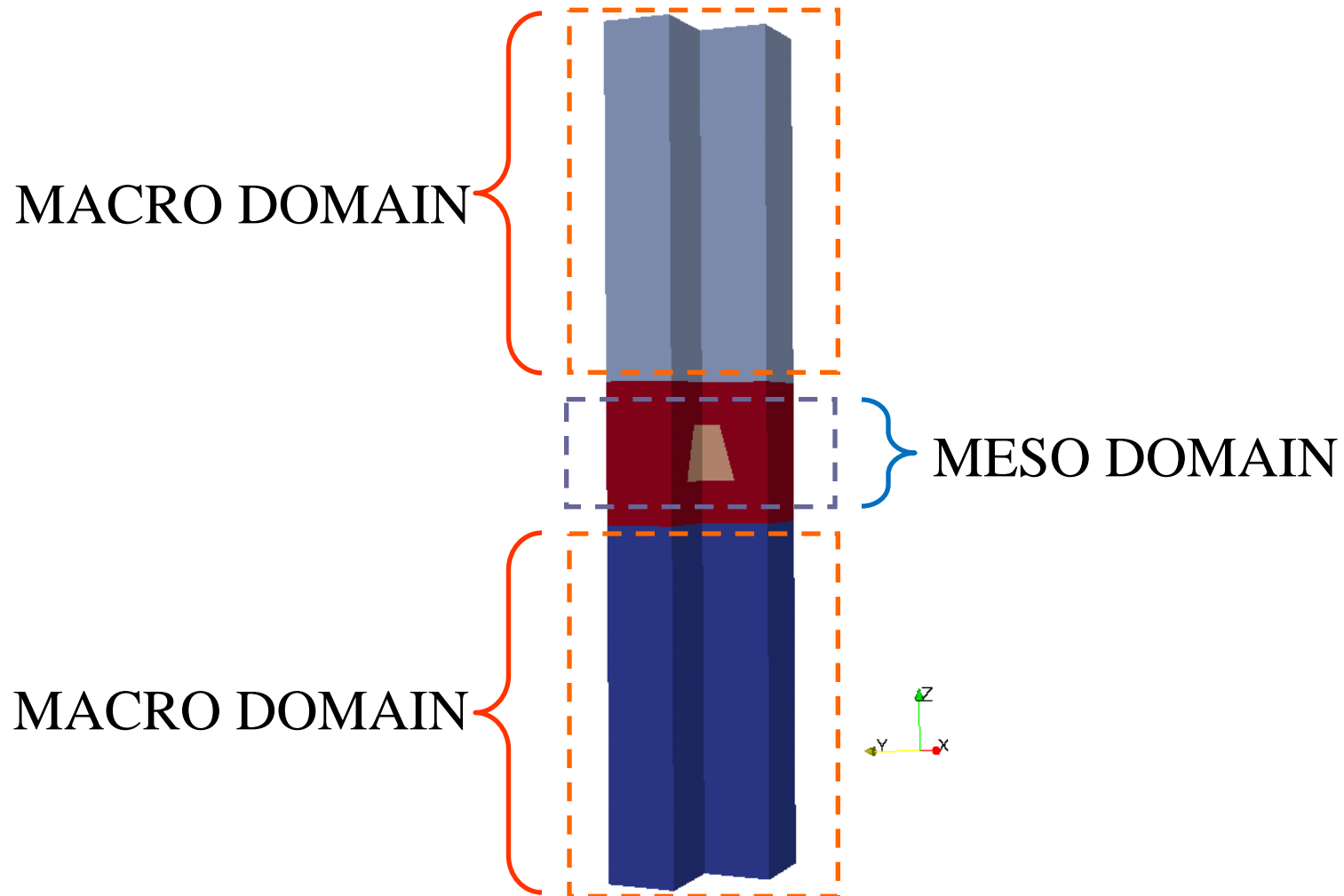


# THE METHOD

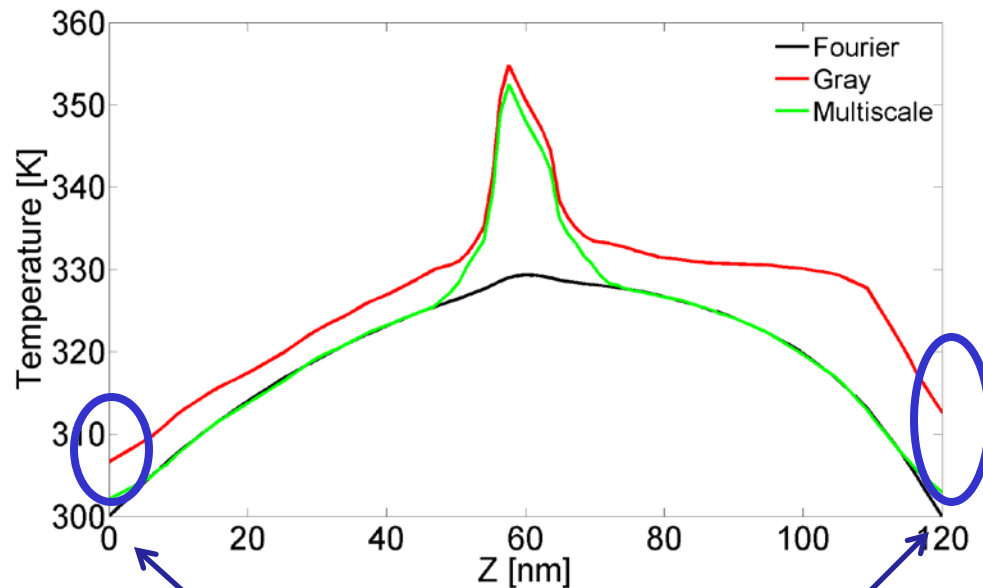
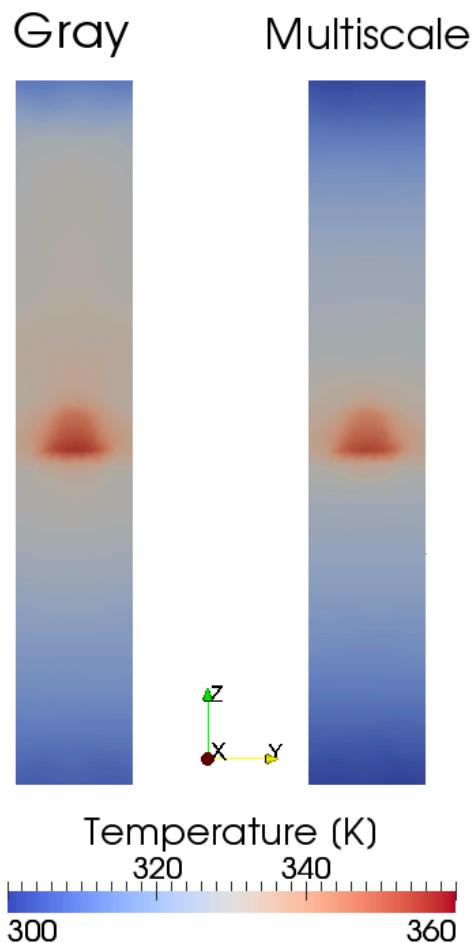
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# Domain partitioning



# Multiscale model: results

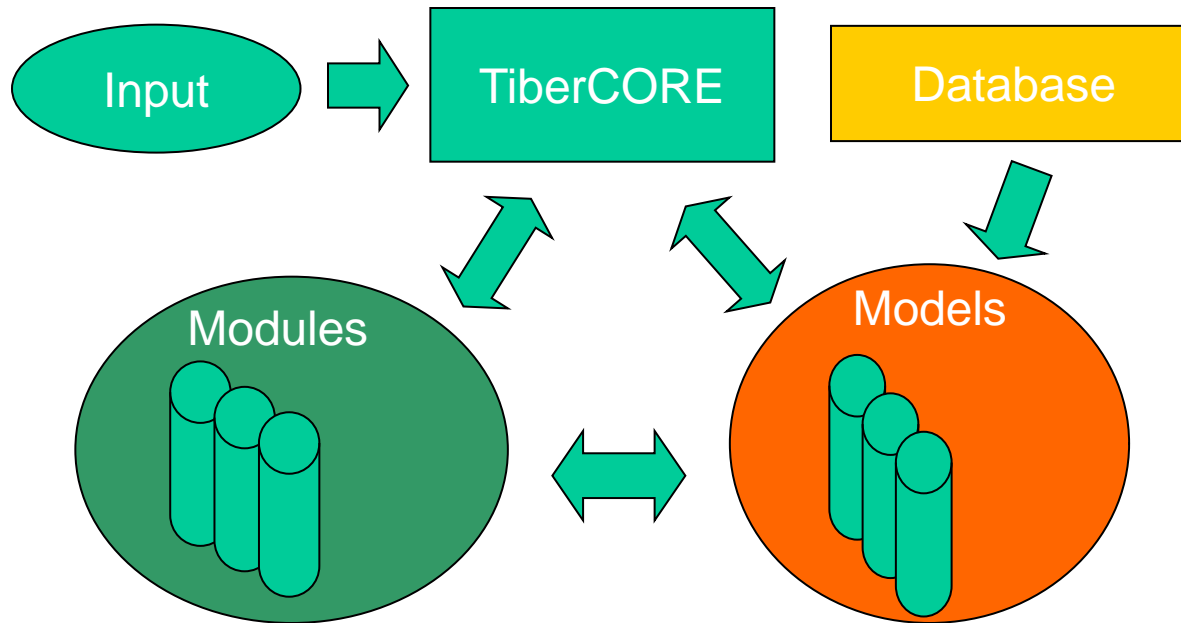


**Kapitza resistance**

G. Romano, A. Pecchia and A. Di Carlo. **IEEE Journal of Quantum Electronics** (in preparation)

# TiberCAD

The models have been implemented in TiberCAD, a multiscale simulator of optoelectronic devices.



[www.tibercad.org](http://www.tibercad.org)

**TIBERLAB**

# Conclusion

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Developed and implemented a model for heating and heat dissipation at the nanoscale (NEGF-DFT)

Implemented and optimized a Boltzmann based model for electro-model simulation (Discontinuous Galerkin Method)

Implemented the Fourier's law for heat transport (Standard Galerkin Method)

Developed and implemented the Macro/Meso multiscale model.

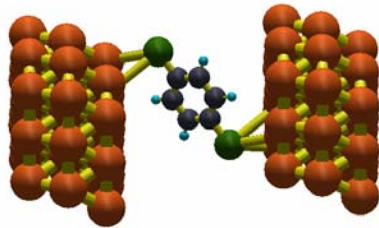
Application of the implemented models to several systems, ranging from molecular structures to macro devices.



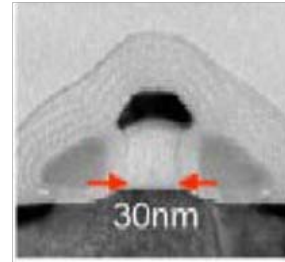
# Future work

Phonon wavelength  
 $\lambda \approx 10nm$

Phonon mean free path  
 $\Lambda \approx 100nm$



Nanoscale



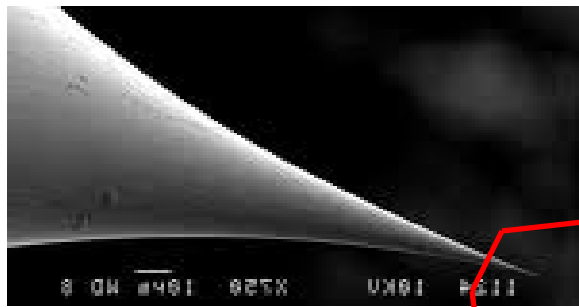
Mesoscale



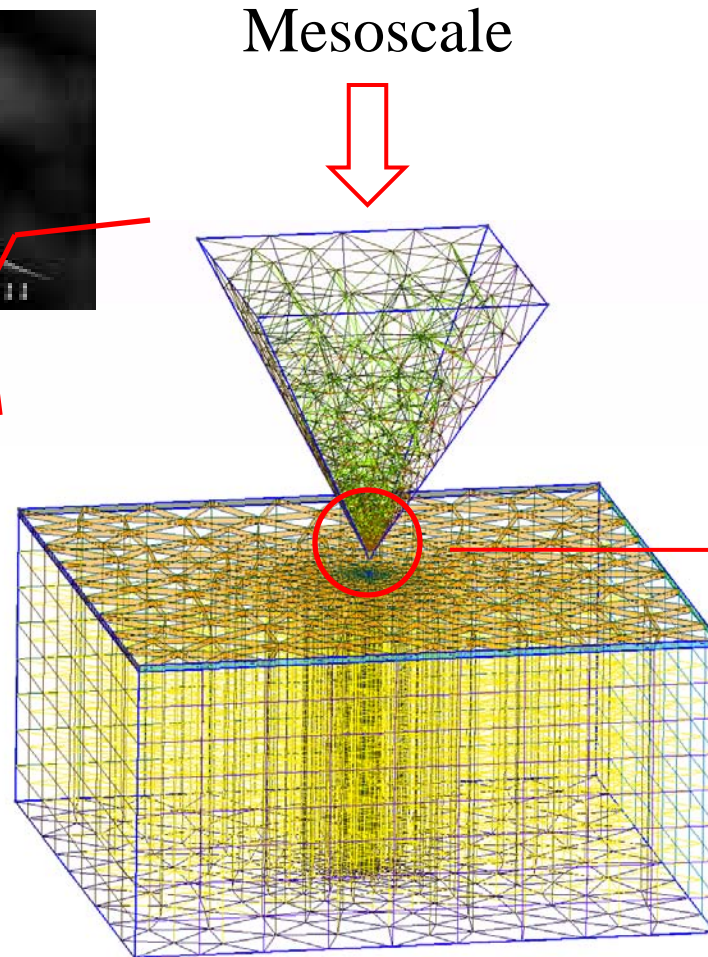
Macroscale

			$L$
$P_D$	$\sum_q \sum_\alpha \Lambda_q^\alpha (N_q - n_q^\alpha)$	$\int_{4\pi} \nabla \cdot (v_g e''s) d\Omega$	$\nabla \cdot (\kappa \nabla T)$
$P_E$	$\sum_q E_q (N_q + 1) - A_{qT}$	$\int_{4\pi} \tilde{H}(\Omega) d\Omega$	$H$

# Full integration



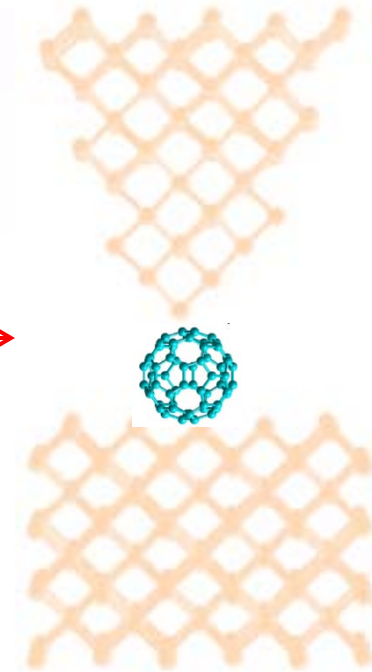
↑  
Macroscale



Mesoscale



Nanoscale



# Visiting scholarships

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**08/2009-10/2009**

**Georgia Institute of Technology, Atlanta, GA (USA)**

Supervisor: Prof. Zhong Lin Wang

Activities:

- FEM simulation of piezoelectric nanogenerators and nanopiezotronic devices.
- Investigation on energy harvesting for nanoelectronics
- Collaboration with experimentalists.

**08/2008-10/2008**

**Kyoto Institute of Technology, Kyoto (JAPAN).**

Supervisor: Prof. Giuseppe Pezzotti

Activities:

- FEM simulation of Raman shift induced by the internal stress.
- Investigation on phonon deformation potentials.
- Collaboration with experimentalists.

# DIDACTIC ACTIVITIES

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GE2008: Nanophotonics and Nanoelectronics: technologies, devices and applications. 18-20 June, 2008. Otranto (Italy).

International Summer School on Advanced Microelectronics. MIGAS 2007. Grenoble (France), 2007.

Metodi e modelli per la matematica applicata. Prof. Vieri Mastropietro. June-july 2007.

Dispositivi Elettronici. Prof. Arnaldo D'amico, 2007.

Scuola di Dottorato. Nanoelectronic Modeling: electronic structure and transport at the nanoscale. Prof. Gerhard Klimeck. 5-9 October, 2009

# PRESENTATIONS

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G. Romano, G. Mantini, A. Di Carlo, A. D'Amico, C. Falconi and Z. L. Wang. *Simulation of piezoelectric nanogenerators with TiberCAD*. AISEM 2010, **Messina (Italy)**, 8–10, Feb 2010 (Poster).

G. Romano, G. Penazzi and A. Di Carlo. *Multiscale thermal modeling of GaN/AlGaIn quantum DOT LEDs*. SPIE Photonics West, **San Francisco, CA (USA)**, 23–28, Jan 2010 (Oral).

F. Sacconi, G. Romano, G. Penazzi, M. Povolotskyi, M. Auf der Maur, A. Pecchia and A. Di Carlo. *Electronic and transport properties of GaN/AlGaIn quantum dot based p-i-n diodes*. SISPAD 2008, **Hakone (Japan)**, 9–11, Sep 2008 (Poster).

G. Romano, G. Penazzi, M. Auf der Maur, F. Sacconi, M. Povolotskyi, A. Pecchia and A. Di Carlo. *TiberCAD: the new multiscale simulator for electronic and optoelectronic devices*. 40th Electronic Group Meeting, **Otranto (Italy)**, 16–18, Jun 2008 (Oral).

G. Romano, G. Penazzi, M. Auf der Maur, F. Sacconi, M. Povolotskyi, A. Pecchia and A. Di Carlo. *A new Multiscale simulator for electronics and optoelectronics devices*. New frontiers in micro and nanophotonics, **Florence (Italy)**, 23–26, April 2008 (Oral).

G. Romano, M. Auf der Maur, M. Povolotskyi, F. Sacconi, E. Petrolati, A. Pecchia and A. Di Carlo. *Multiscale approach in the heat balance problems*. International summer school on advanced microelectronics, **Grenoble (France)**, 24–29, Jun 2007 (Poster).

# PROCEEDINGS

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G. Romano, G. Penazzi and A. D. Carlo. Multiscale thermal modeling of GaN/AlGaIn quantum dot LEDs. **Physics and Simulation of Optoelectronic Devices XVIII**, 7597(1), 75971S, SPIE (2010).

G. Penazzi, A. Pecchia, F. Sacconi, M. Auf der Maur, M. Povolotskyi, G. Romano and A. Di Carlo. *Simulations of Optical Properties of a GaN Quantum Dot Embedded in a AlGaIn Nanocolumn within a Mixed FEM/atomistic Method*. **13th International Workshop on Computational Electronics (IWCE '09)**, 1 –4 (2009).

M. Auf der Maur, F. Sacconi, G. Penazzi, M. Povolotskyi, G. Romano, A. Pecchia and A. Di Carlo. *Coupling atomistic and finite element approaches for the simulation of optoelectronic devices*. **9th International Conference on Numerical Simulation of Optoelectronic Devices (NUSOD)**, 75 – 80 (2009).

A. Di Carlo, M. Auf der Maur, F. Sacconi, A. Pecchia, M. Povolotskyi, G. Penazzi and G. Romano. *Multiscale atomistic simulations of high-k MOSFETs*. **Proceedings of the 8th IEEE International Conference on Nanotechnology** (2008).

A. Pecchia, G. Romano and A. Di Carlo. *Modeling of dissipative transport in molecular systems*. **Proceedings of the 7th IEEE International Conference on Nanotechnology**, 1185 – 1192 (2008).

F. Sacconi, G. Romano, G. Penazzi, M. Povolotskyi, M. Auf der Maur, A. Pecchia and A. Di Carlo. *Electronic and transport properties of GaN/AlGaIn quantum dot-based p-in diodes*. (**SISPAD 2008**), 177 –180 (sept. 2008).

M. Auf der Maur, M. Povolotskyi, F. Sacconi, G. Romano, P. E. and A. Di Carlo. *Multiscale Simulation of Electronic and Optoelectronic Devices with TiberCAD*. **SISPAD 2007**, 245 –248 (sept. 2007).

# JOURNAL ARTICLES (1/2)

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G. Romano, A. Pecchia and A. Di Carlo. *Multiscale electro-thermal modeling of GaN/AlGaIn quantum dot LEDs*. **IEEE Journal of quantum electronics**, (in preparation).

A. Gagliardi, G. Romano, A. Pecchia and A. Di Carlo. *Simulation of Inelastic Scattering in Molecular Junctions: Application to Inelastic Electron Tunneling Spectroscopy and Dissipation Effects*. **Journal of Computational and Theoretical Nanoscience** (in press).

M. Auf der Maur, F. Sacconi, G. Penazzi, M. Povolotskyi, G. Romano, A. Pecchia and A. Di Carlo. *Coupling atomistic and finite element approaches for the simulation of optoelectronic devices*. **Optical and Quantum Electronics** (in press).

G. Romano, A. Gagliardi, A. Pecchia and A. Di Carlo. *Heating and cooling mechanisms in single-molecule junctions*. **Physical Review B**, 81(11), 115438 (2010).

A. Gagliardi, G. Romano, A. Pecchia, A. Di Carlo, T. Frauenheim *Electron-phonon scattering in molecular electronics: From inelastic electron tunnelling spectroscopy to heating effects*. **New Journal of Physics**, 10 (2008).

G. Schulze, K. Franke, A. Gagliardi, G. Romano, C. Lin, A. Rosa, T. A. Niehaus, T. Frauenheim, A. Di Carlo, A. Pecchia and J. Pascual. *Resonant electron heating and molecular phonon cooling in single C60 junctions*. **Physical Review Letters**, 100(13) (2008).

# JOURNAL ARTICLES (2/2)

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A. Pecchia, G. Romano, A. Di Carlo, A. Gagliardi and T. Frauenheim. *Joule heating in molecular tunnel junctions: Application to C60*. **Journal of Computational Electronics**, 7(3), 384 – 389 (2008).

M. Auf Der Maur, M. Povolotskyi, F. Sacconi, A. Pecchia, G. Romano, G. Penazzi and A. Di Carlo. *TiberCAD: Towards multiscale simulation of optoelectronic devices*. **Optical and Quantum Electronics**, 40(14-15 SPEC. ISS.), 1077 – 1083 (2008).

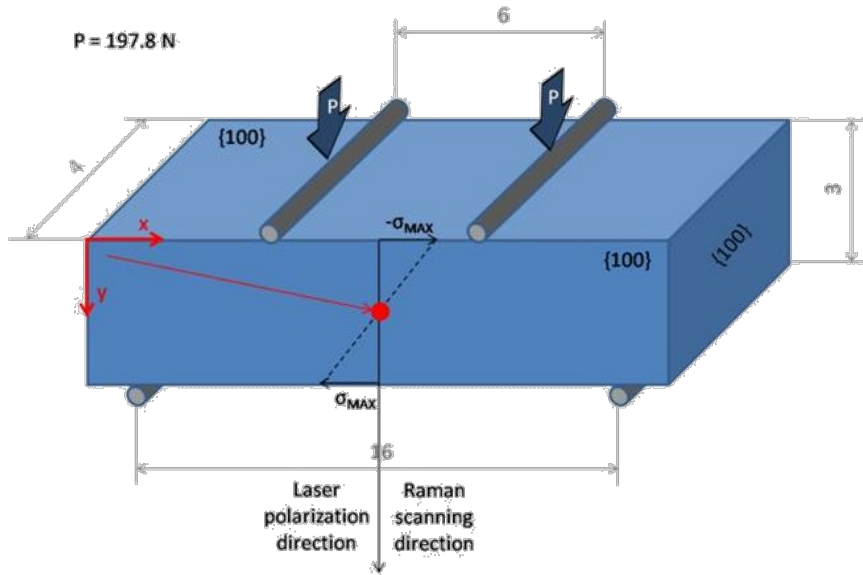
G. Romano, A. Pecchia and A. Di Carlo. Coupling of molecular vibrons with contact phonon reservoirs. **Journal of Physics Condensed Matter**, 19(21) (2007).

A. Pecchia, G. Romano and A. Di Carlo. Theory of heat dissipation in molecular electronics. **Physical Review B**, 75(3), 35401 – 1 (2007).

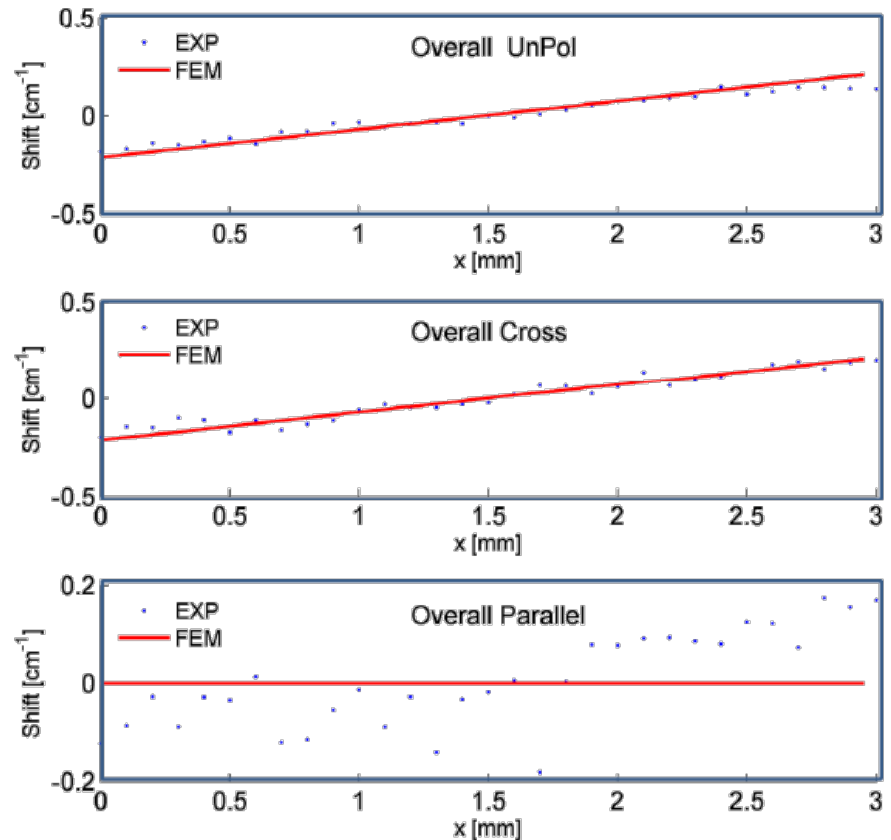
A. Pecchia, G. Romano, A. Gagliardi, T. Frauenheim and A. Di Carlo. Heat dissipation and non-equilibrium phonon distributions in molecular devices. **Journal of Computational Electronics**, 6(1-3), 335 – 339 (2007).



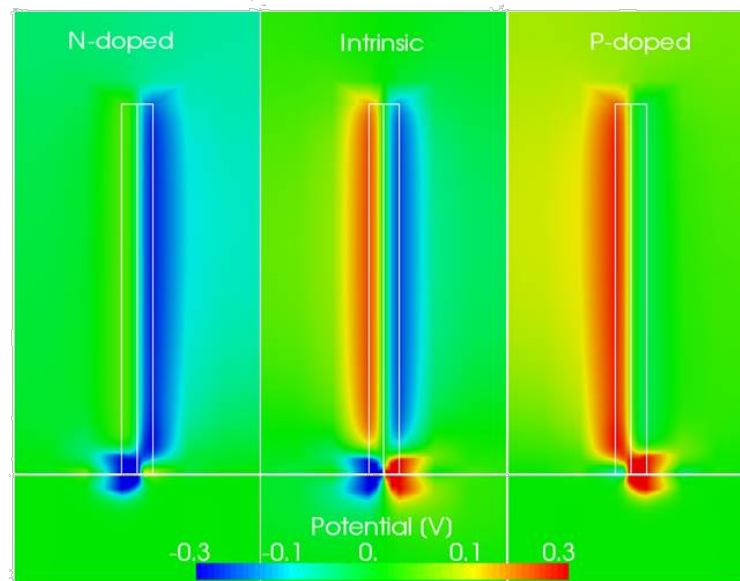
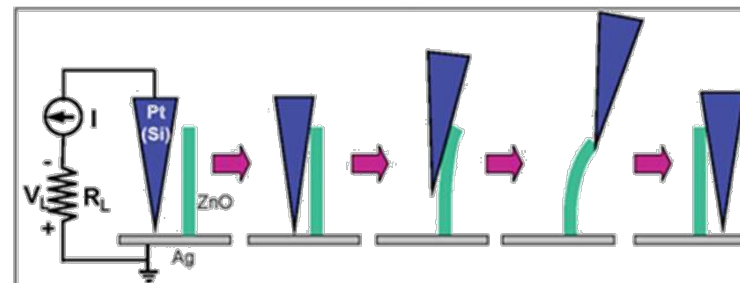
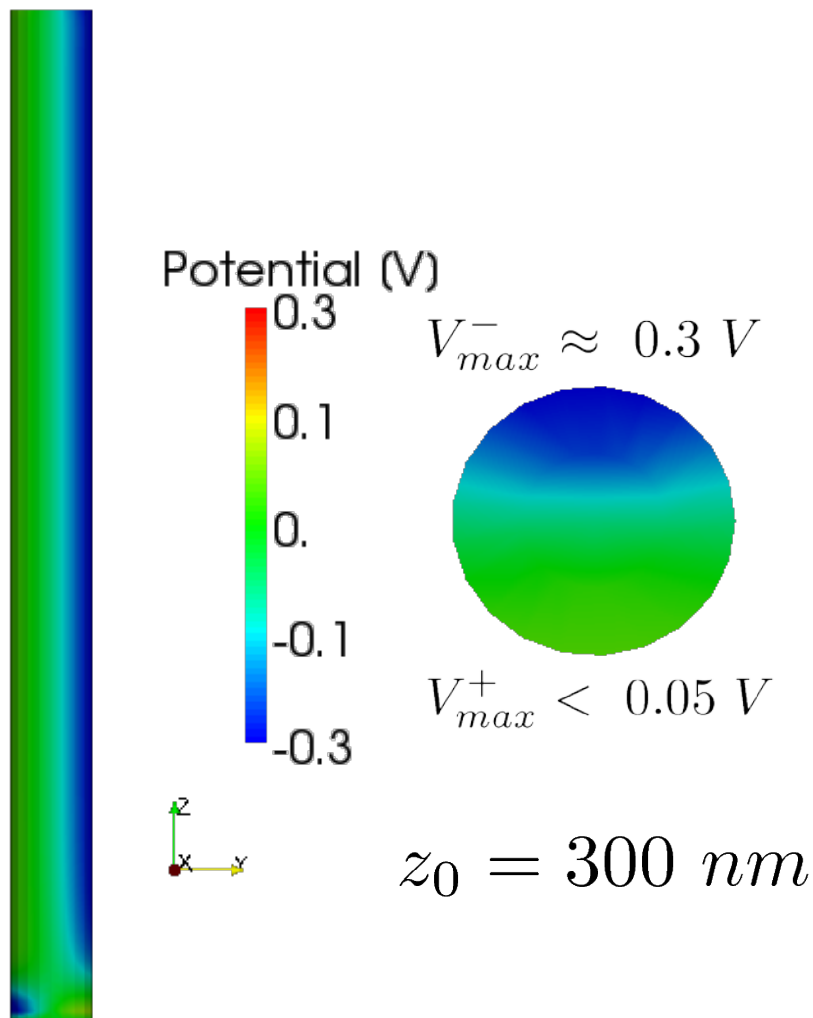
## Theoretical piezospectroscopic



Deformation potentials  
Raman shift



Prof. Giuseppe Pezzotti



Prof. Zhong Lin Wang