

The gDFTB tool for quantum transport calculations

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*A Gagliardi, G. Romano, G. Penazzi, M. Auf der
Maur, M. Povolotskyi, F. Sacconi, Aldo Di Carlo*

- Introduce NEGF extensions in DFTB
- Overlook on applications
- Electron-phonon interactions and heating in molecules
- Multiscale device simulations in TiberCAD

DFTB = DFT based Tight-Binding method

Kohn-Sham equation:



$$\sum_{\nu} \left[H_{\mu\nu}^0 + H_{\mu\nu}^{Scc} [\delta n] - E_k S_{\mu\nu} \right] c_{\nu}^k = 0$$

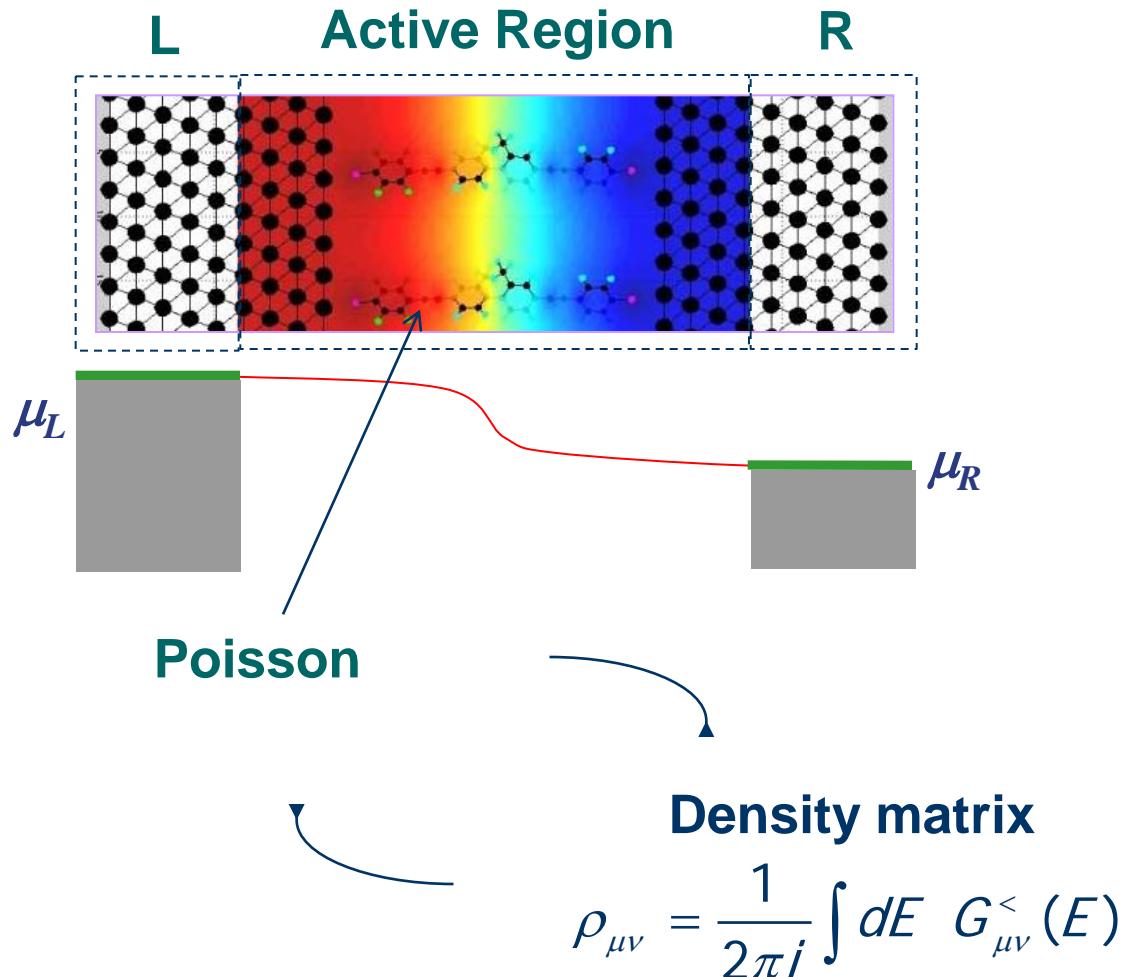
$$H_{\mu\nu} = \begin{cases} \varepsilon_{\mu} & \text{onsite atomic energy levels} \\ \langle \mu | V [n_{\mu}^0 + n_{\nu}^0] | \nu \rangle & \text{two-centre density superposition} \end{cases}$$

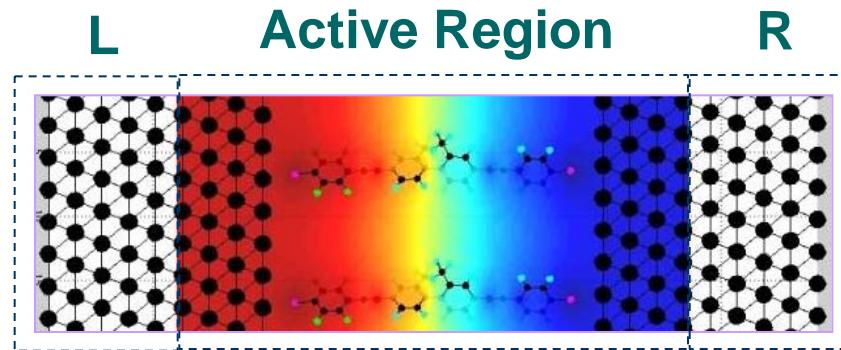
$$S_{\mu\nu} = \langle \mu | \nu \rangle$$

$$H_{\mu\nu}^{Scc} = \frac{1}{2} S_{\mu\nu} \sum_{\sigma} (\gamma_{\mu\sigma} + \gamma_{\nu\sigma}) \Delta q_{\sigma}$$

[Eilstner, et al. Phys. Rev. B 58 (1998) 7260]

Self-consistent loop (gDFTB)



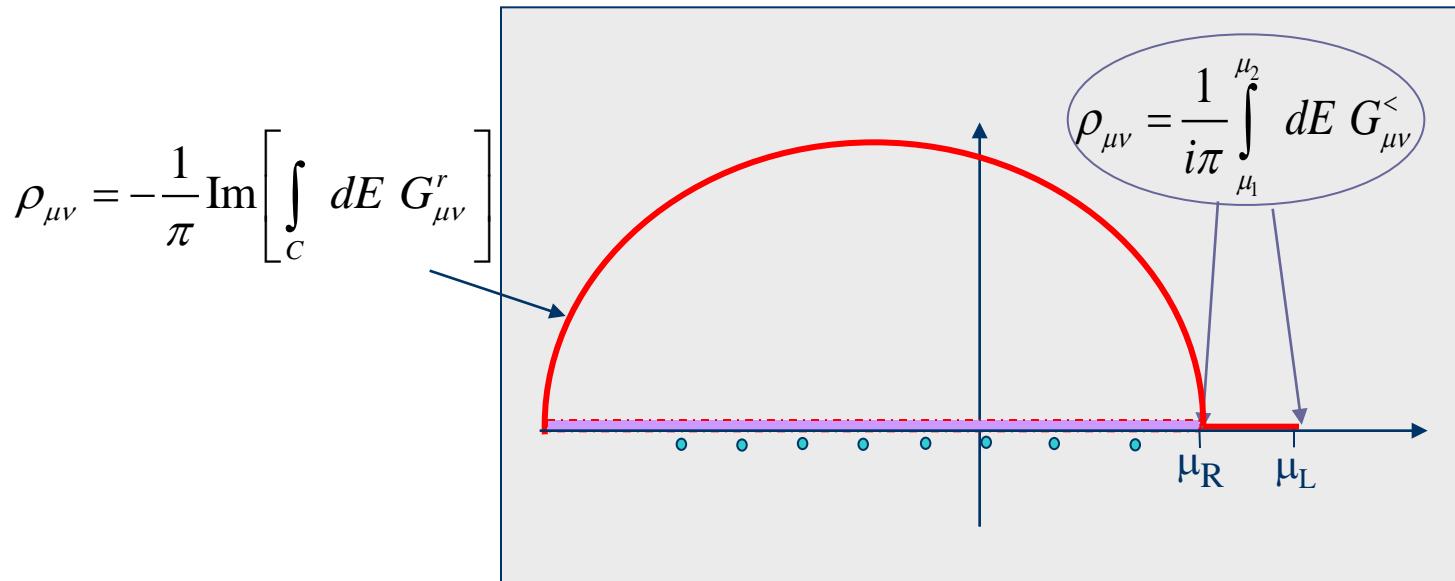


$$G^r(E) = (ES - H - \Sigma_L - \Sigma_R)^{-1}$$

$$G^<(E) = if_L(E) \underbrace{G^r(E)\Gamma_L(E)G^a(E)}_{\text{L -incoming DOS}} + if_R(E) \underbrace{G^r(E)\Gamma_R(E)G^a(E)}_{\text{R -incoming DOS}}$$

Equilibrium limit: $G^<(E) = if(E)[G^r(E) - G^a(E)]$

$$\int G^<$$

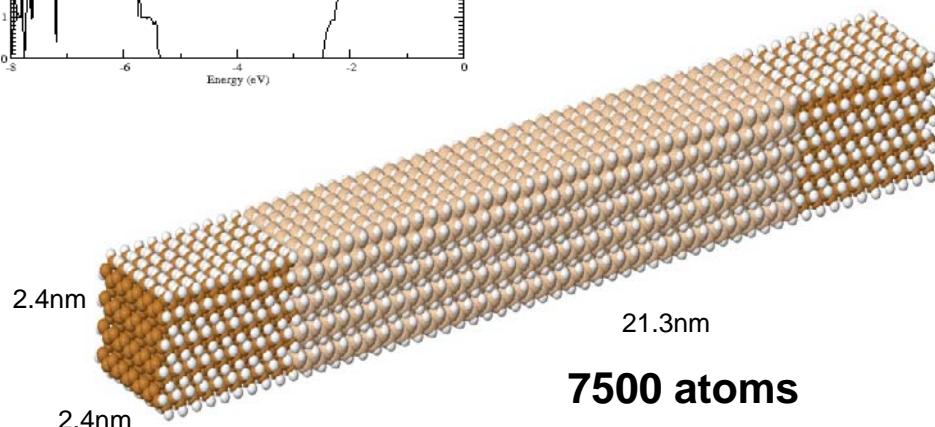
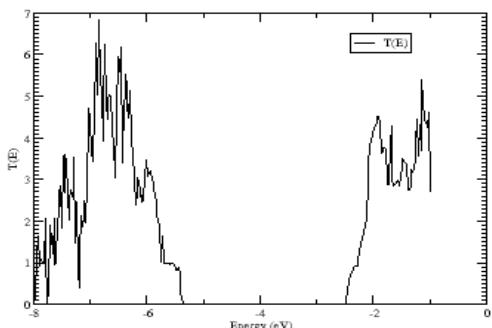


- The largest part of the integration is performed away from the real axis
- The integral is performed numerically via gaussian quadrature
- Parallelized with MPI

Iterative scheme

$$H, S = \begin{bmatrix} & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \end{bmatrix} \Rightarrow P = \begin{bmatrix} & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \end{bmatrix}$$

$$q_\mu = \sum_v P_{\mu v} S_{v\mu}$$

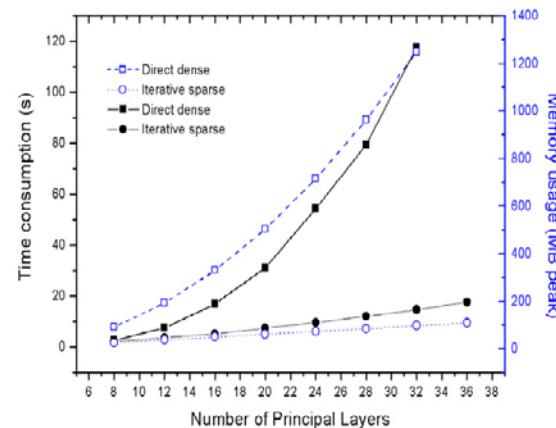


$O(N m^3)$

PROFILING

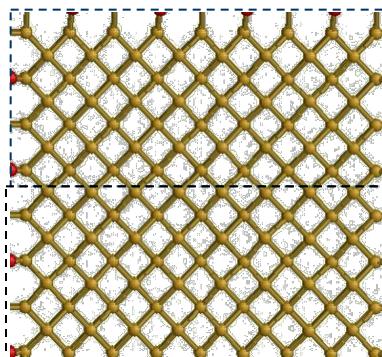
| | |
|---------------------------------|--------|
| Charge density and potential: | 20 h |
| Density of States (350 points): | 6 h |
| Peak memory: | 876 MB |

Calculations on single PC Linux core
Intel(R) Core(TM)2 CPU 6600 @ 2.40GHz



[Penazzi, et al. New J. Phys. 10 (2008)]

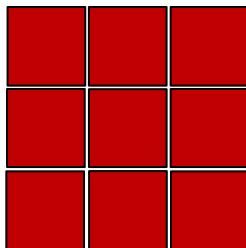
Sub-partitioning of each layer



$$G_{11}(E) = (ES_{11} - H_{11} - H_{12}g_{22}H_{21})^{-1}$$
$$g_{22}(E) = (ES - H_{22})^{-1}$$

| | |
|-----------------------------------|---|
| $G_{11}(E)$ | $G_{12}(E) = -G_{11}H_{12}g_{22}$ |
| $G_{21}(E) = -g_{22}H_{21}G_{11}$ | $G_{22}(E) = g_{22} + g_{22}H_{21}G_{11}H_{12}g_{22}$ |

$$2 O(m^3)/8 + MM \text{ mult} \approx O(m^3)/3$$



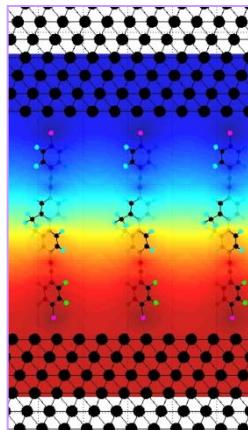
$$O(m^3)/9 + MM \text{ mult} \approx O(m^3)/6$$

LibNEGF

- General Sparse Matrices (CSR)
- Automatic partitioning (METIS)
- Parallel computations (MPI/OpenMP)
- GPU acceleration (?)

... work in progress...

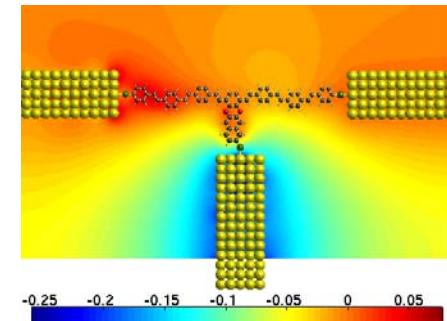
2-terminals



Discretize in real space

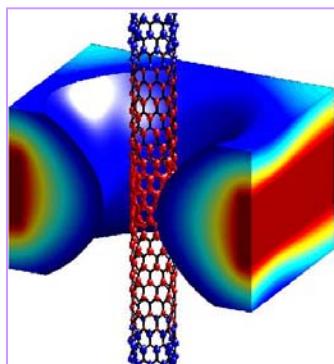
$$\Delta\rho(r) = \sum_{\mu} \Delta q_{\mu} n_{\mu}(r)$$

gated (3-term.)



The Poisson equation is solved with a multi-grid algorithm (MUDPACK).

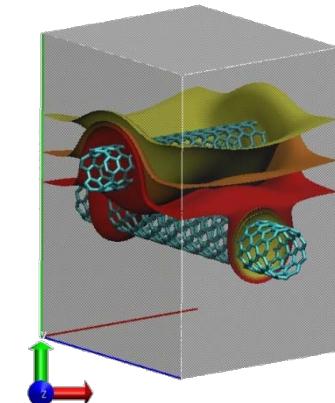
coaxially-gated

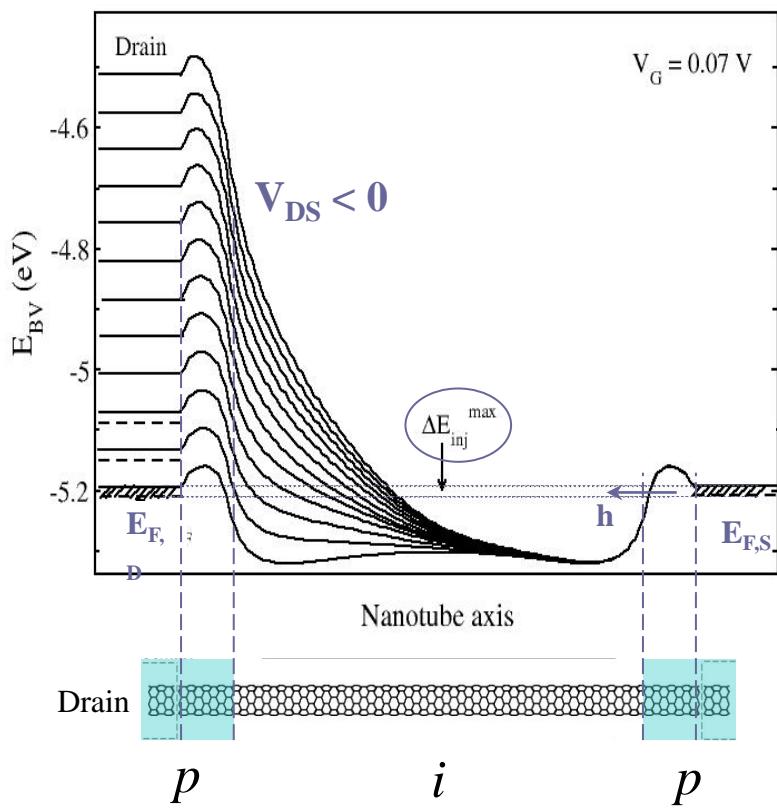


This allows to solve complex boundary conditions (bias, gate)

$$\nabla^2 V = -4\pi\Delta\rho$$

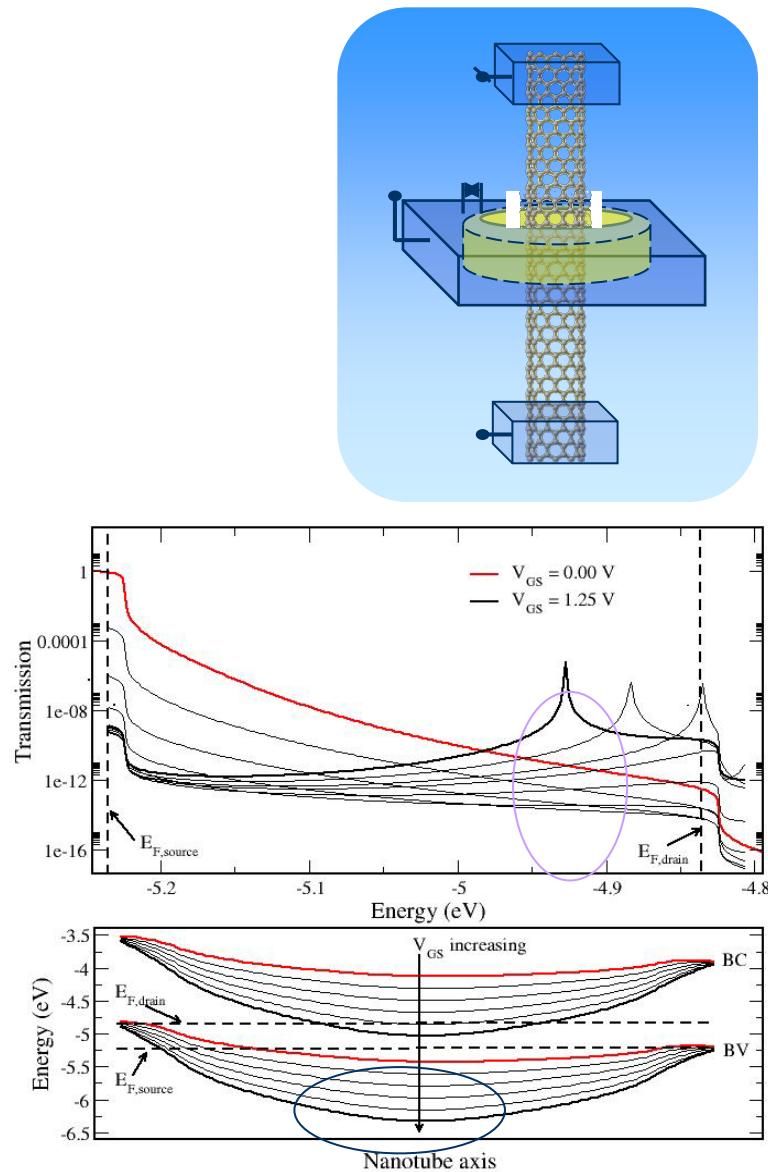
4-terminals

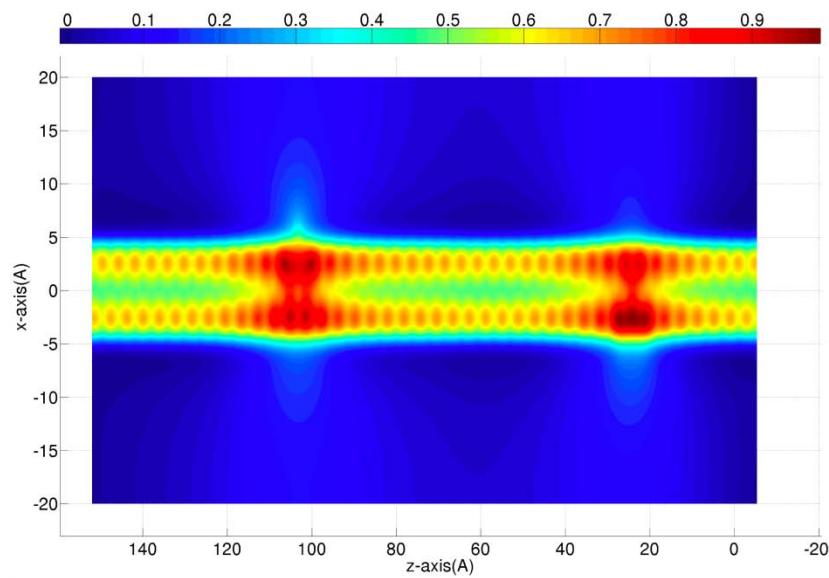
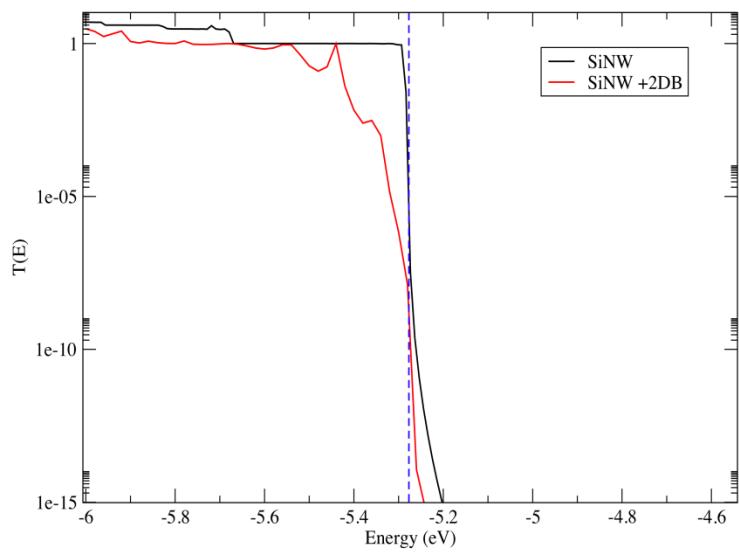
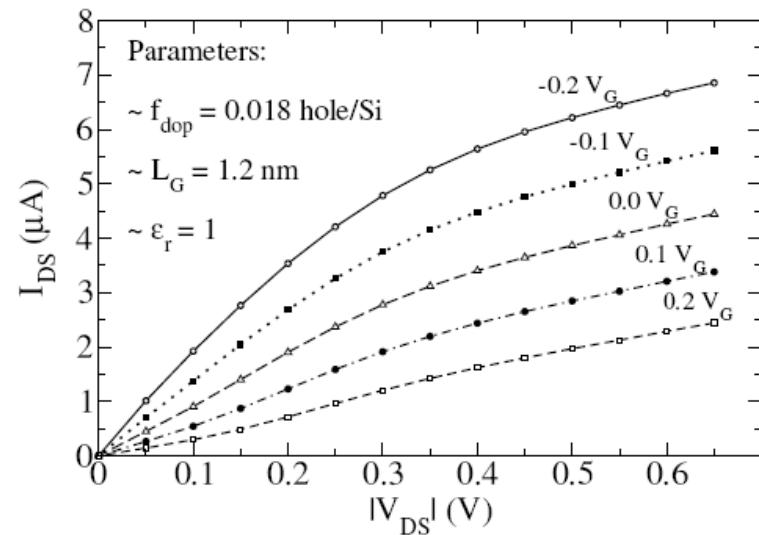
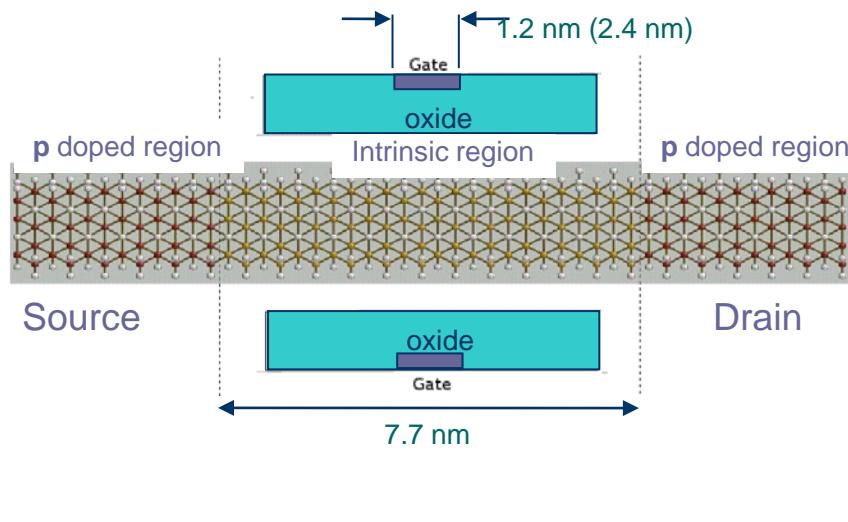




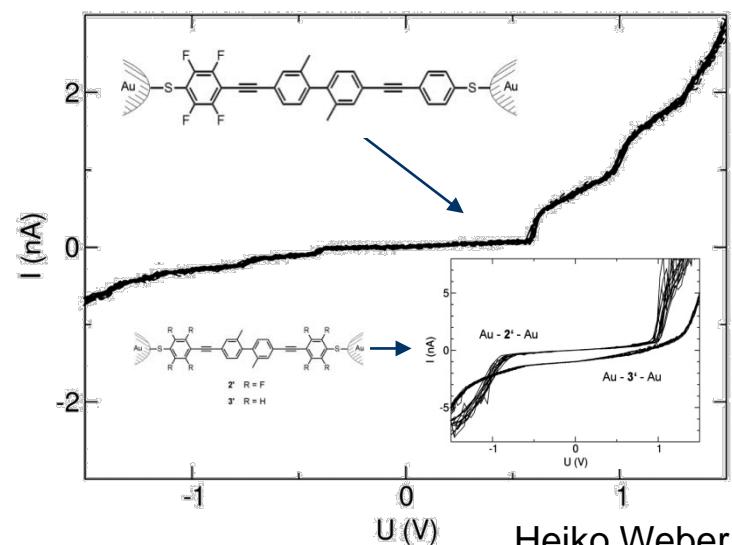
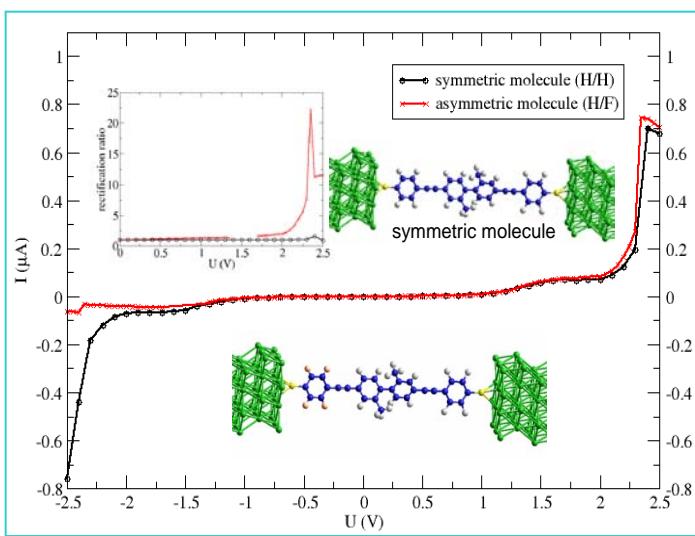
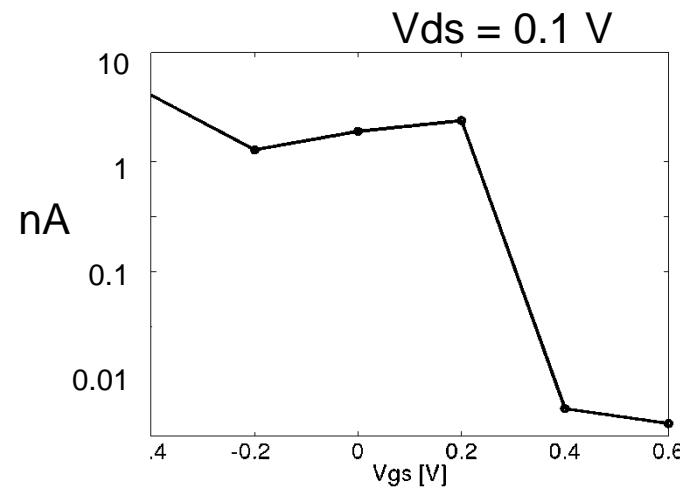
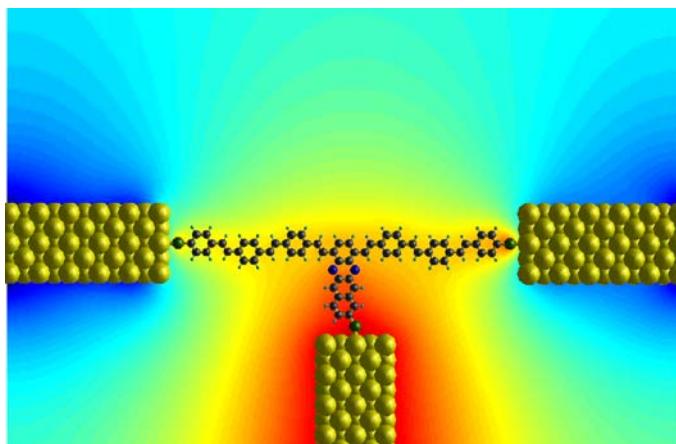
Self-consistent barriers
Band to band tunneling
Negative Quantum Capacity

L. Latessa et al., PRB 72, 035455 (2005)





OPV – based transistor



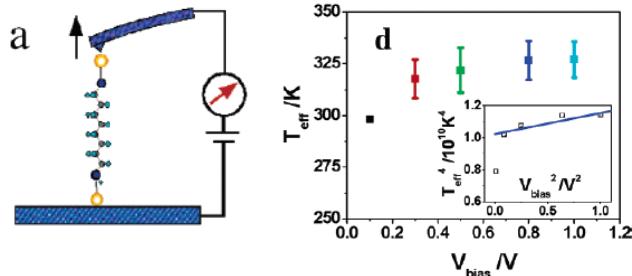
F. Pump, G. Cuniberti

Heiko Weber

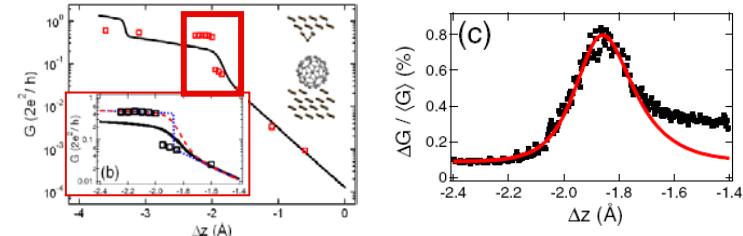
Power Dissipation

Molecular heating & cooling

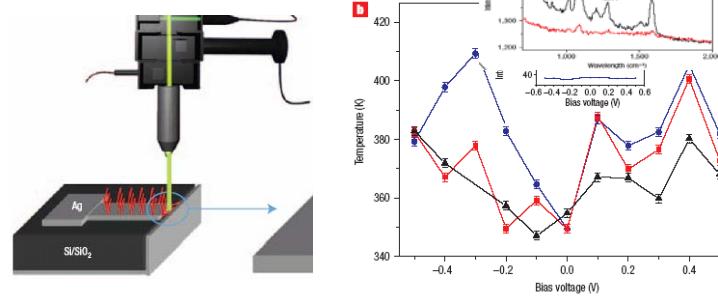
- Thermal effects at the molecular scale represent an increasingly ‘hot’ topic
- Theoretical and experimental challenges to measure nanoscale temperatures



Z. Huang et al. Nano Lett. 6, 1240 (2006)

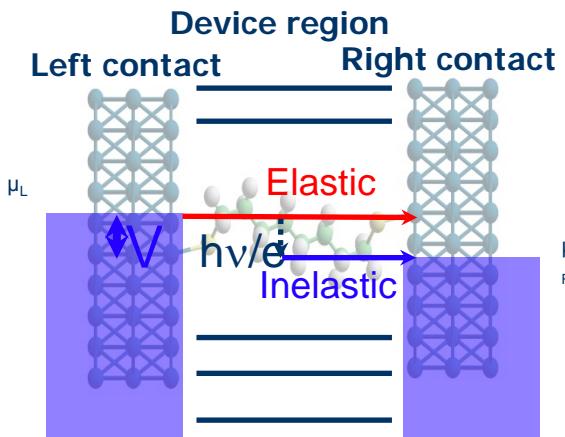


N. Néel et al. PRL 98, 065502 (2007)



Z. Ioffe et al. Nature Nanotech., on-line doi:10.1038/nnano.2008.304

electron-phonon scattering



$$G^r(E) = [ES - H^{DFT} - \Sigma_L^r - \Sigma_R^r - \Sigma_{scatt}^r]^{-1}$$

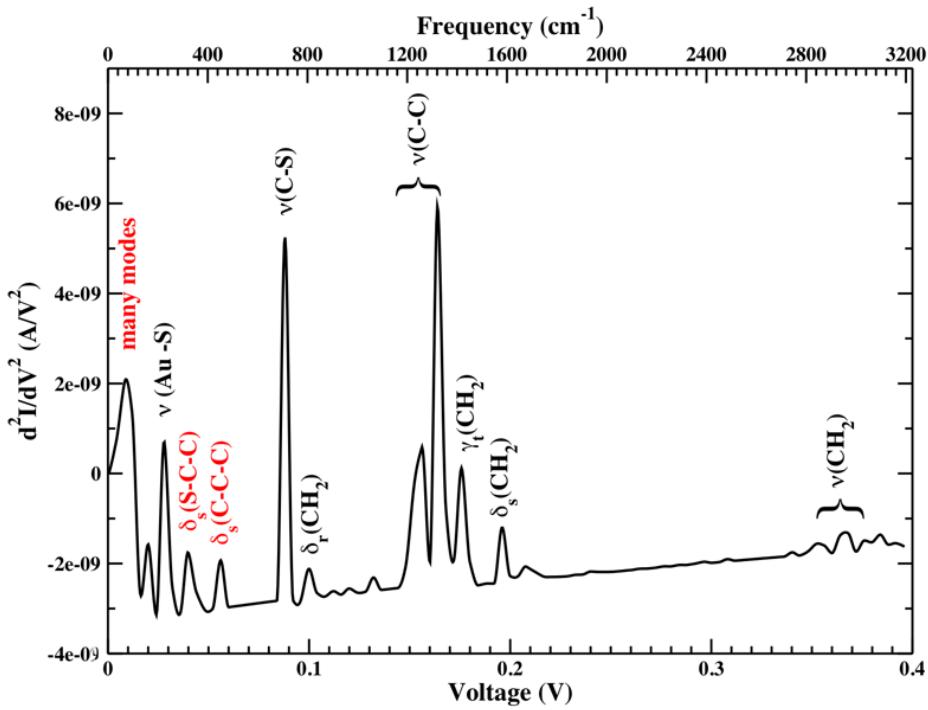
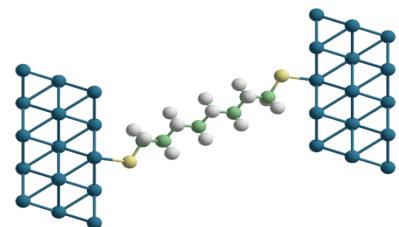
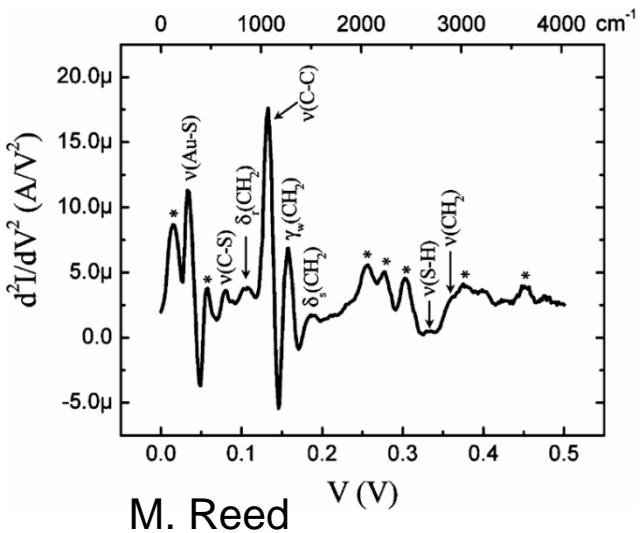
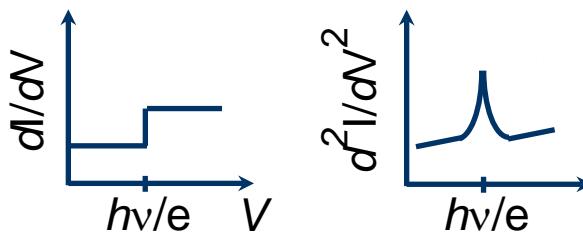
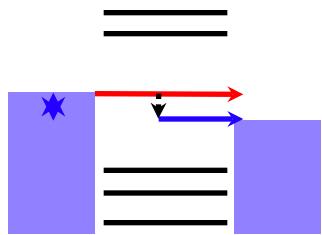
$$G^<(E) = G^r [\Sigma_L^< + \Sigma_R^< + \Sigma_{scatt}^<] G^a$$

$$\Sigma_{L,R}^< = i f_{L,R} \Gamma_{L,R}$$



$$\Sigma_{ph}^<(E) = \frac{i}{2\pi} \sum_q \int dE' \alpha^q G^<(E - E') \alpha^q D_q^<(E')$$

$$\Sigma_q^<(E) = N_q \gamma_q G^<(E - \omega_q) \gamma_q + (N_q + 1) \gamma_q G^<(E + \omega_q) \gamma_q$$



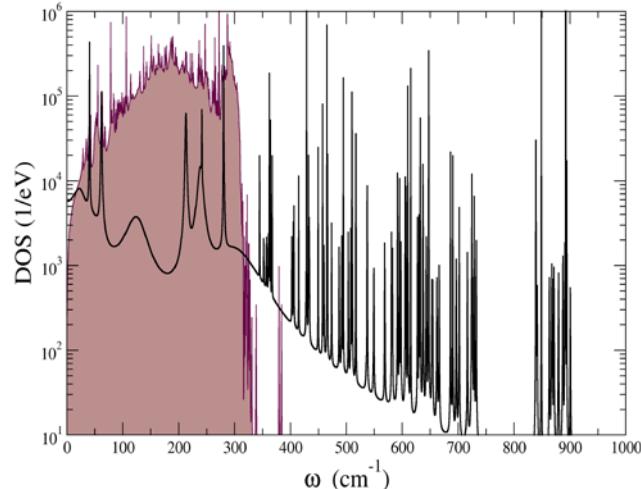
Solomon *et al.*, J. Chem Phys 124, 094704 (2006)

Set up a steady-state solution for the vibronic populations

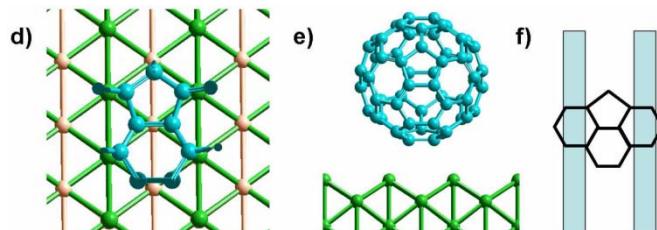
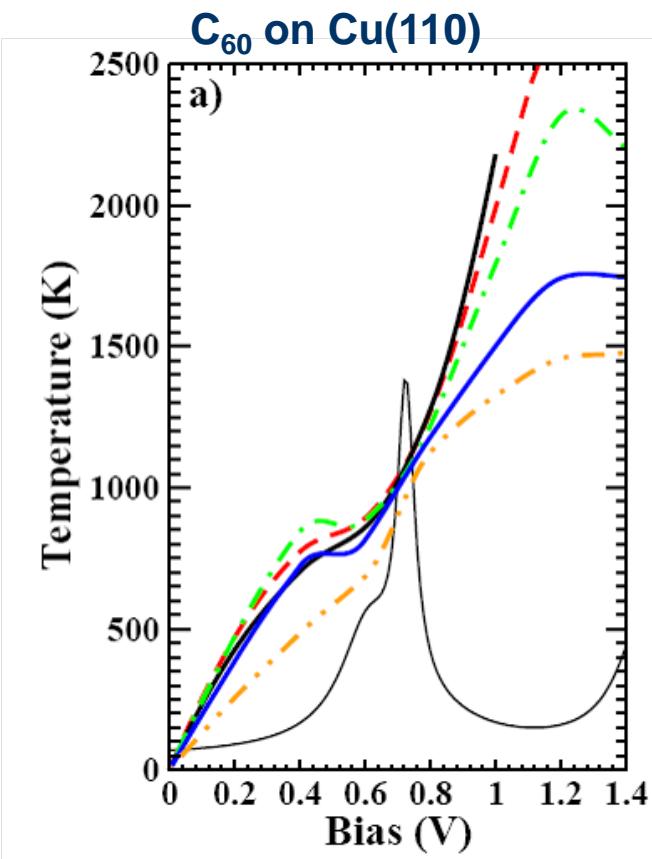
Rate equation:

$$\frac{dN_q}{dt} = R_q - J_q [N_q - n_q(T_{eff})] = 0$$

$$R_q = (N_q + 1)E_q - N_q A_q$$

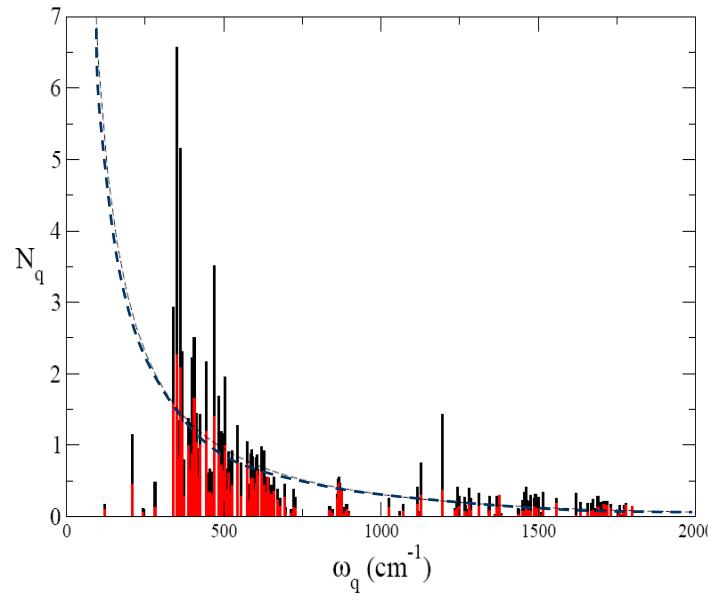


Molecular Temperature



- Definition of molecular temperature:

$$U = \sum_q \hbar \omega_q N_q = \sum_q \hbar \omega_q n_q (T_{mol})$$

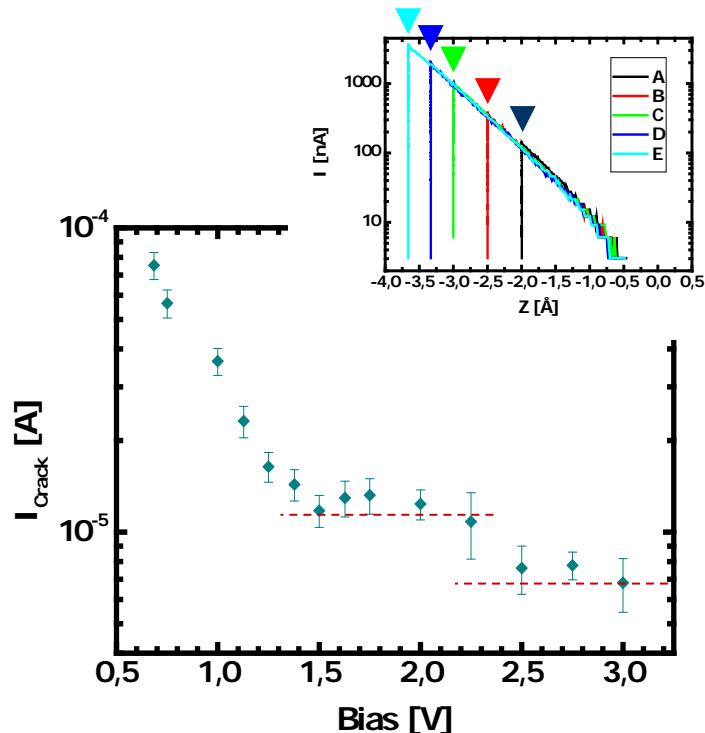
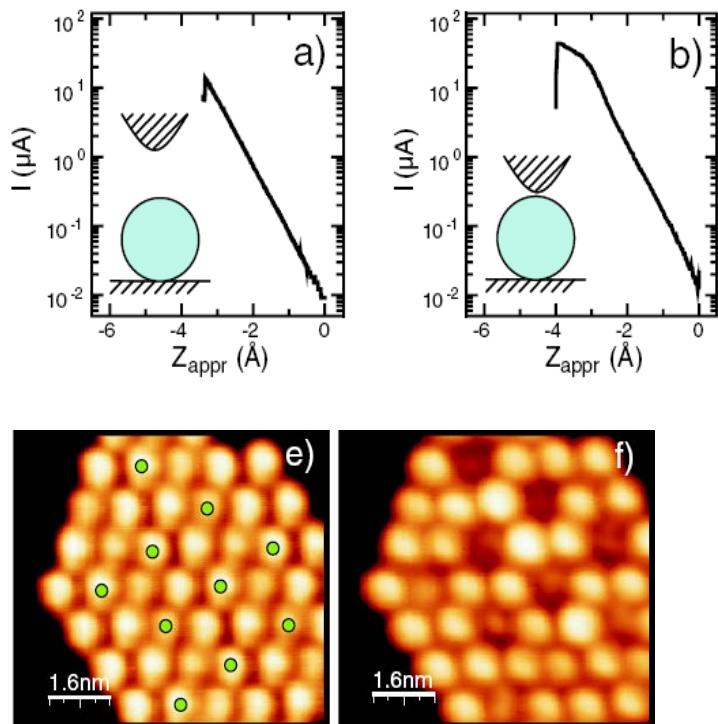


- Bath coupling:

$$\sum_q \hbar \omega_q W_q [N_q - n_q (T)] = 0$$

C_{60} burning experiment

Tip approaches at fixed V until C_{60} cracks.
Molecules can be selectively burned

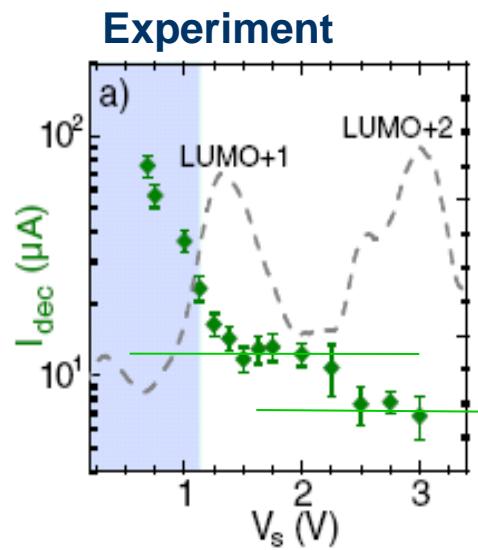
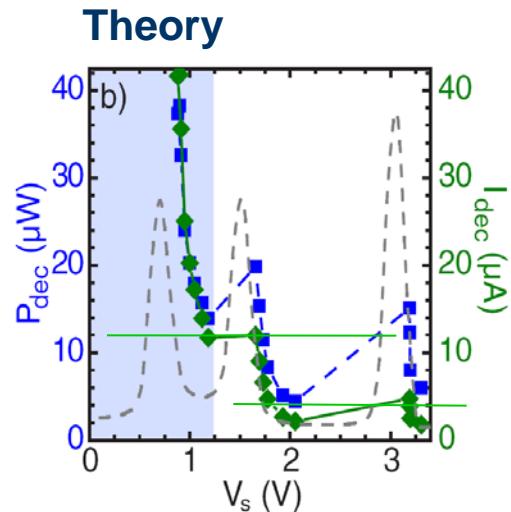
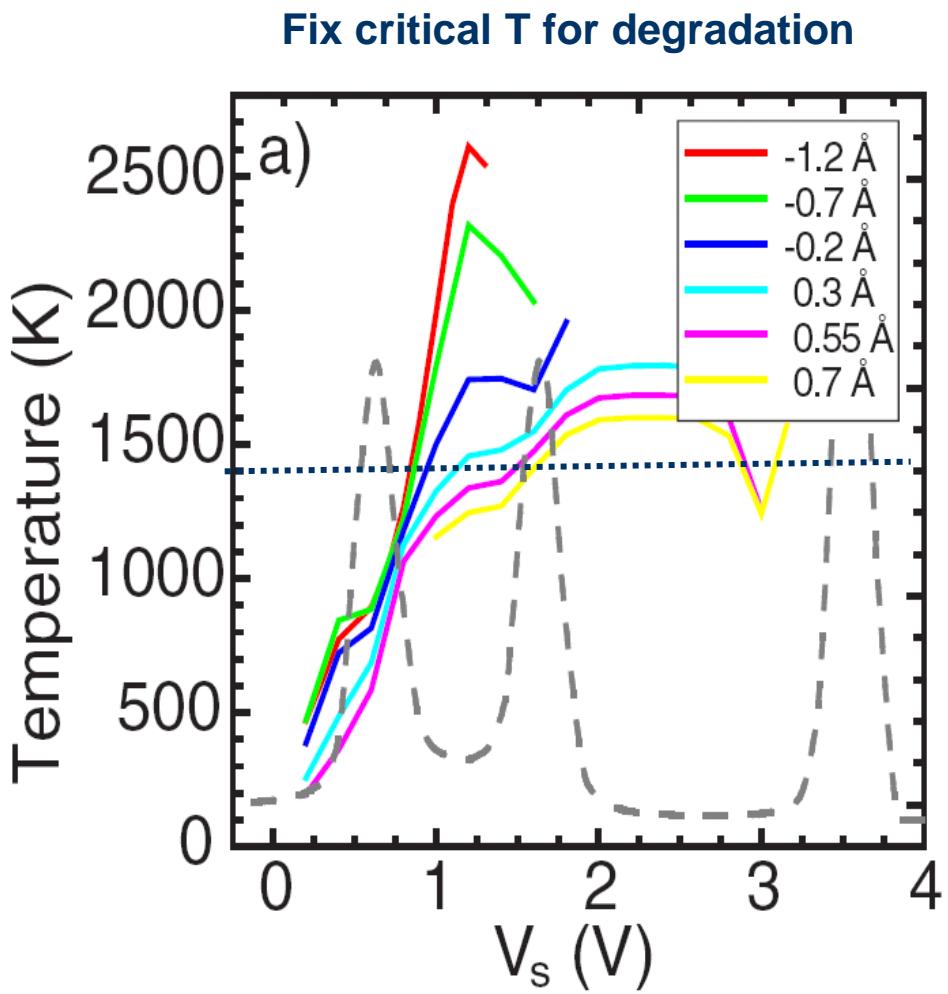


$P = I_{\text{crack}} \cdot V_{\text{crack}}$ is not a constant
but shows features and plateaux

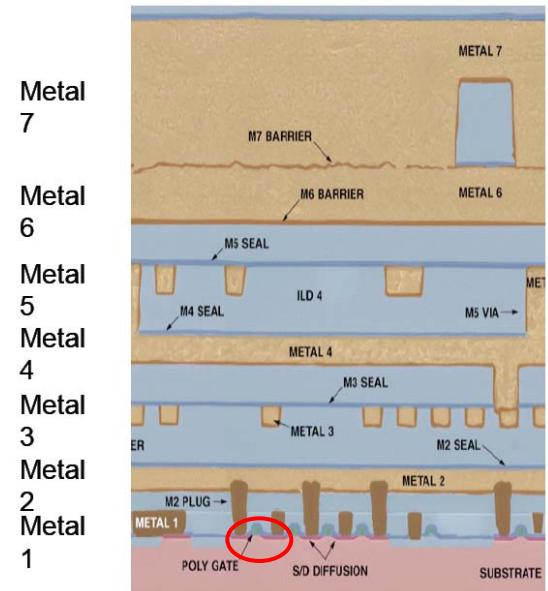
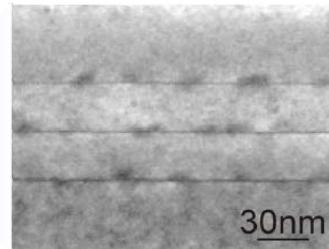
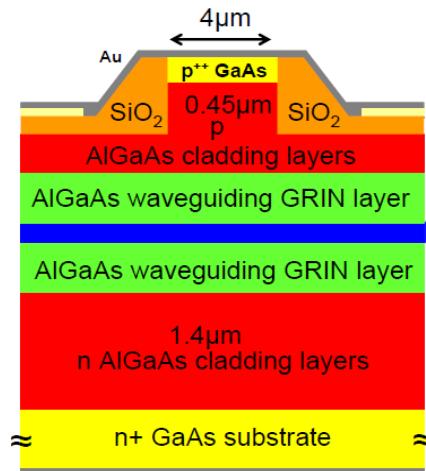
G. Shulze et al., Phys. Rev. Lett. **100**, 136801 (2008)



Model vs Experiments



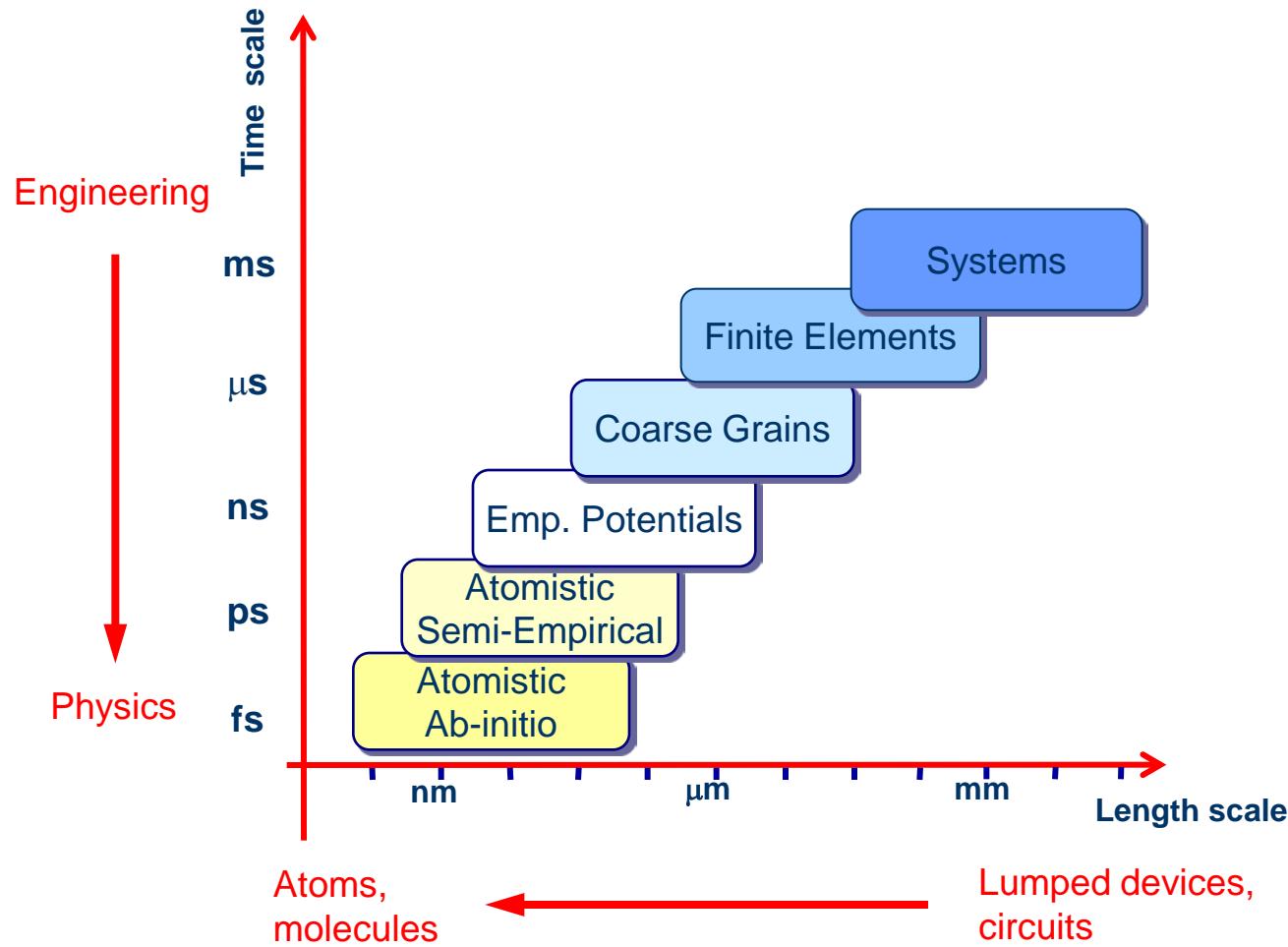
Multiscale/multiphysics outlook



- *Device should be accessible from a macro scale*
- *Number of atoms cannot grow to much in simulations*
- *Micro/macro scale details are as important as nanoscale features*

Introduction: Multiscale/multiphysics

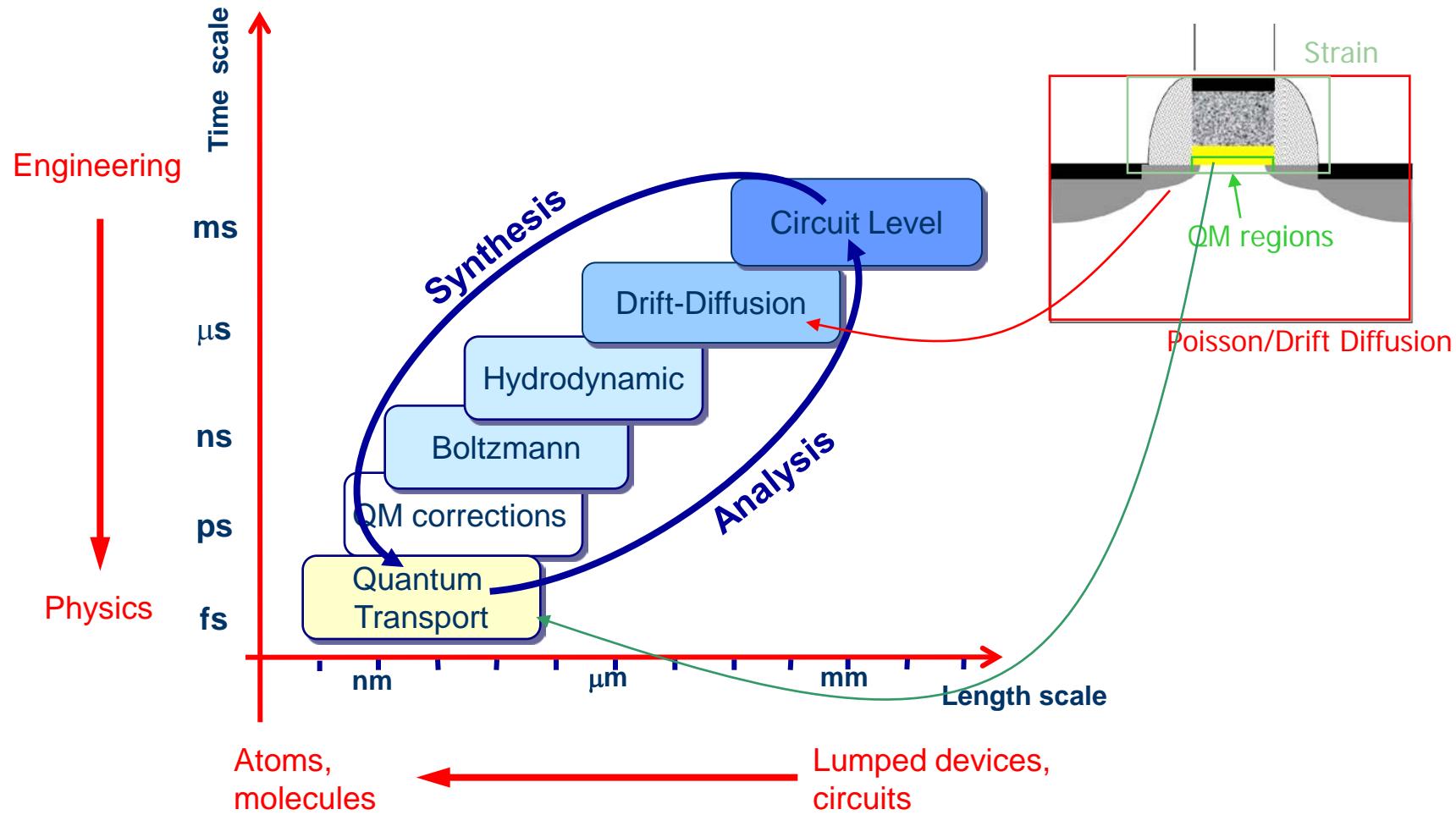
Length and time scale hierarchy



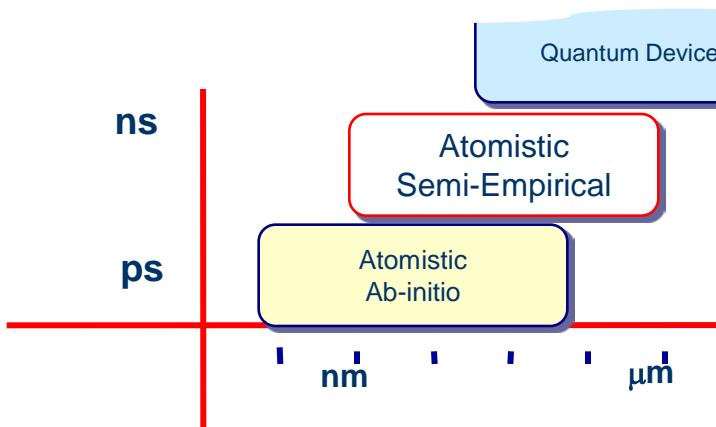
Hierarchy of transport models

TIBER CAD

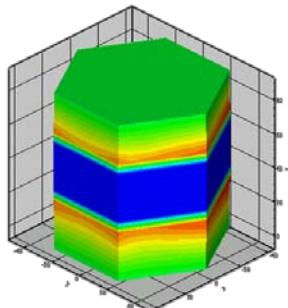
<http://www.tibercad.org>



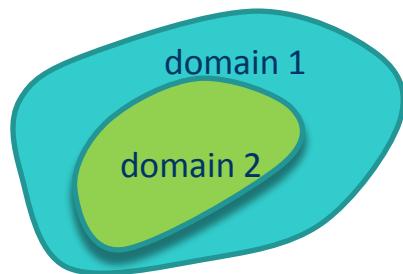
DFTB as intermediate method



FEM

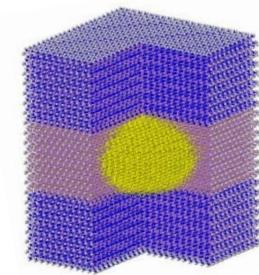
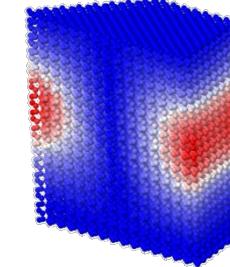
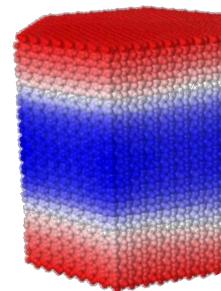


Potentials
(electrostatic, piezo, ...)
Strain and deformations

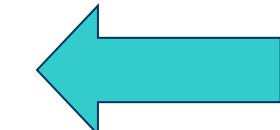


Different simulation domains exchange data

ATOMISTIC

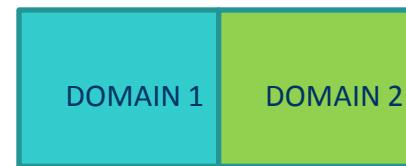


Projection on atoms

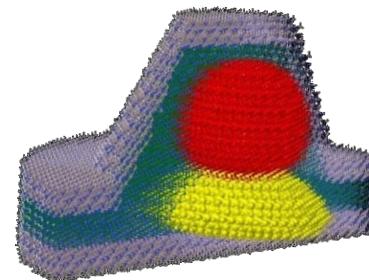
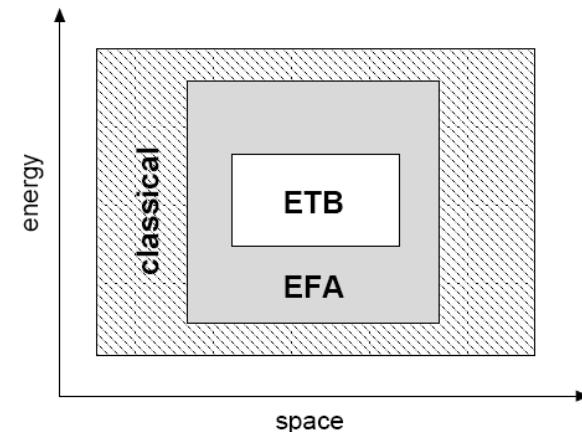
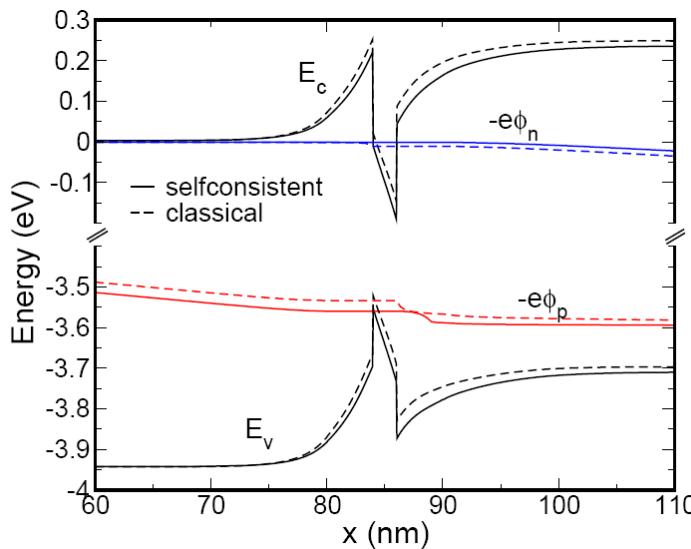
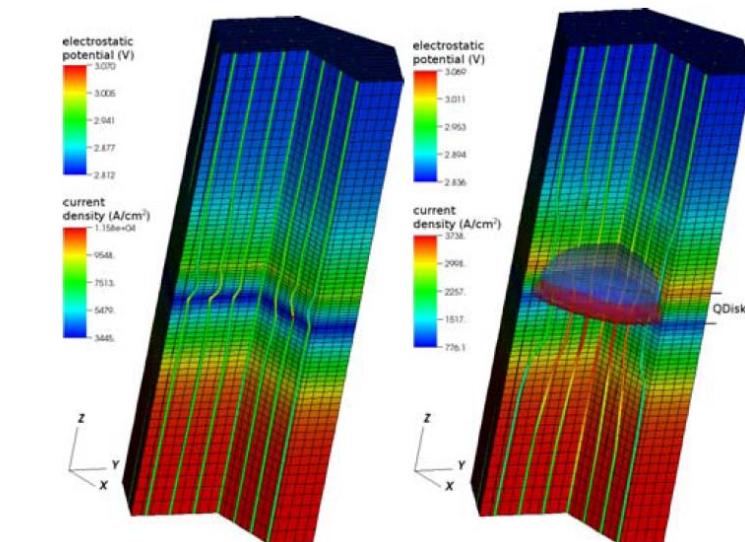


Projection on FEM

Electrostatic map
Deformation map
(Wavefunctions)
Charge density
Current



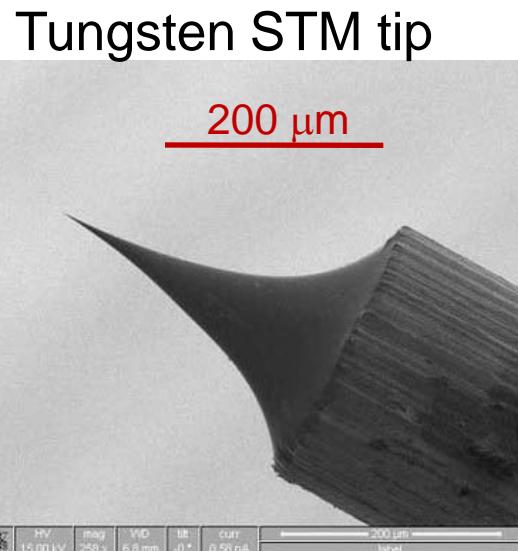
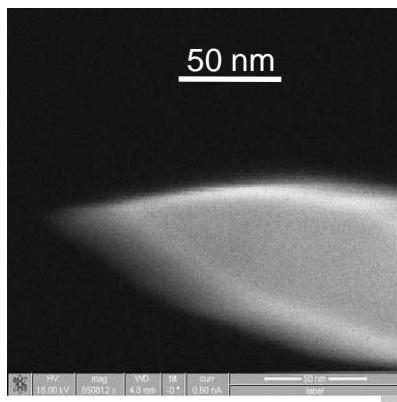
Light emitting GaN/AlGaN QD



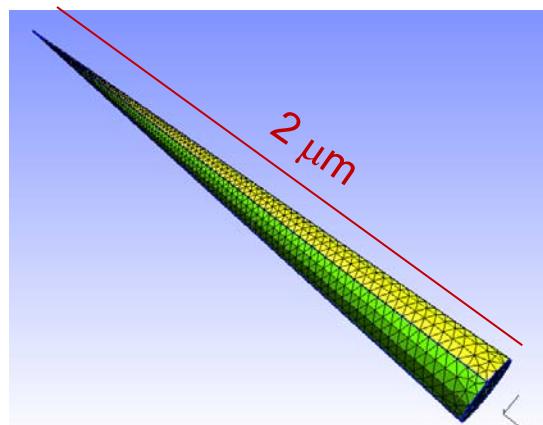
sp³d⁵s Empirical TB*

~150.000 atoms

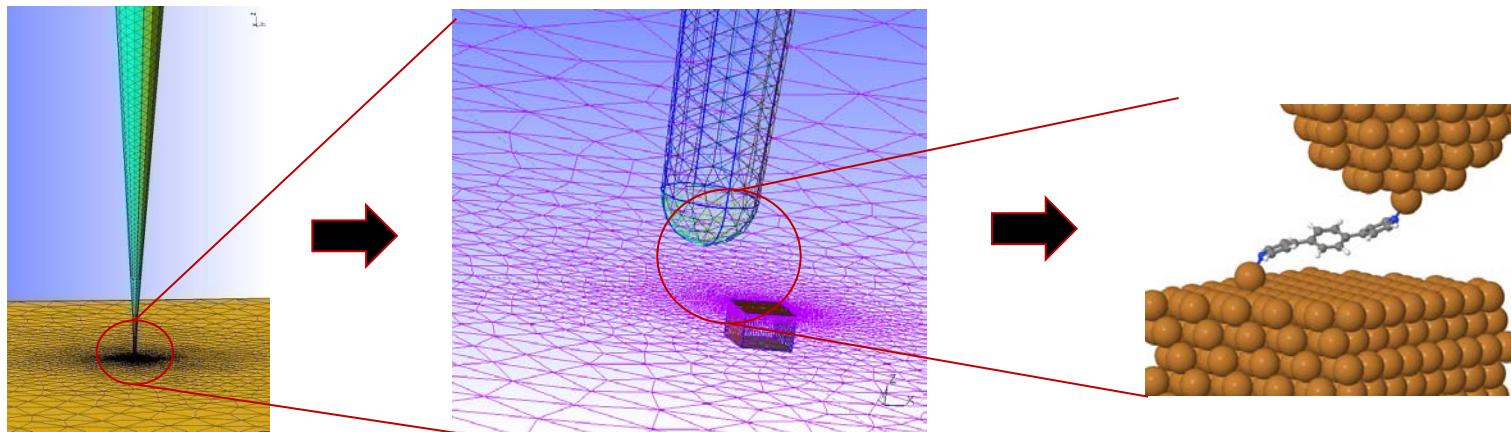
Modeling of STM junction



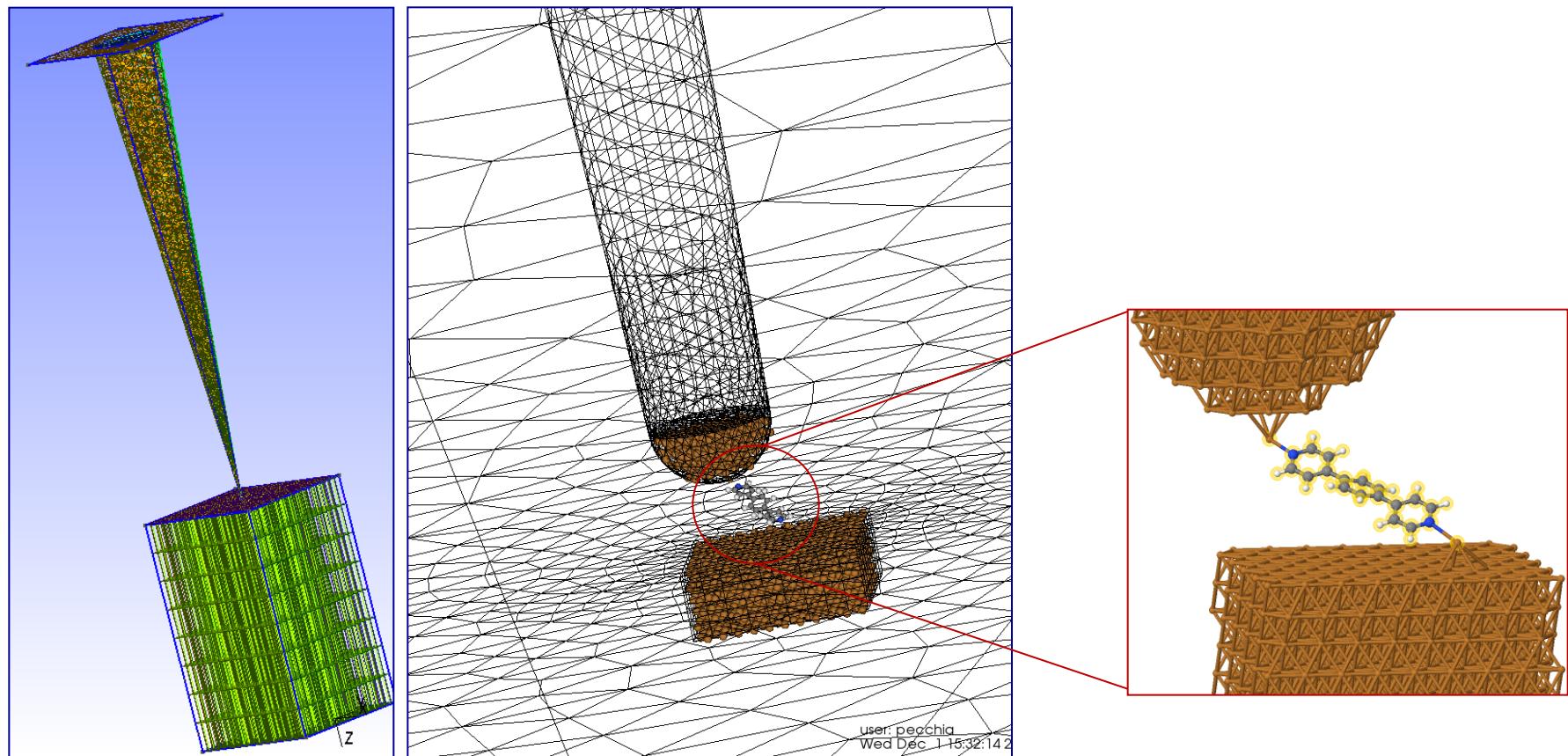
Idealized model of the tip



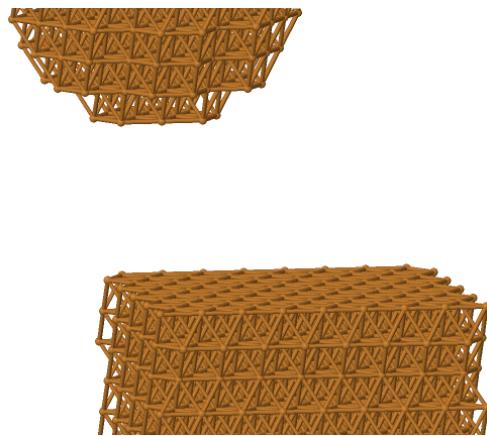
Construction of FEM – Atomistic Model of the STM junction



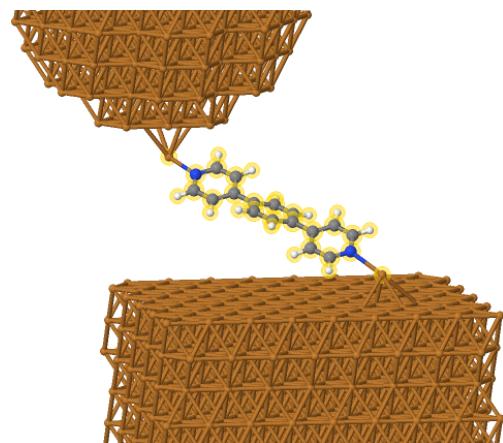
Atomistic-FEM coupling



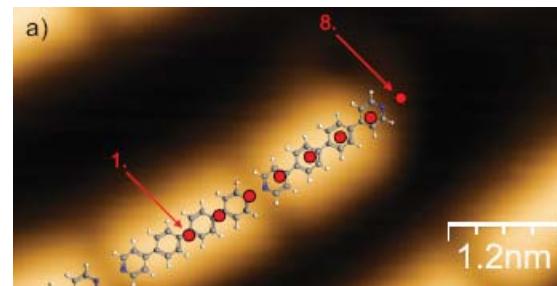
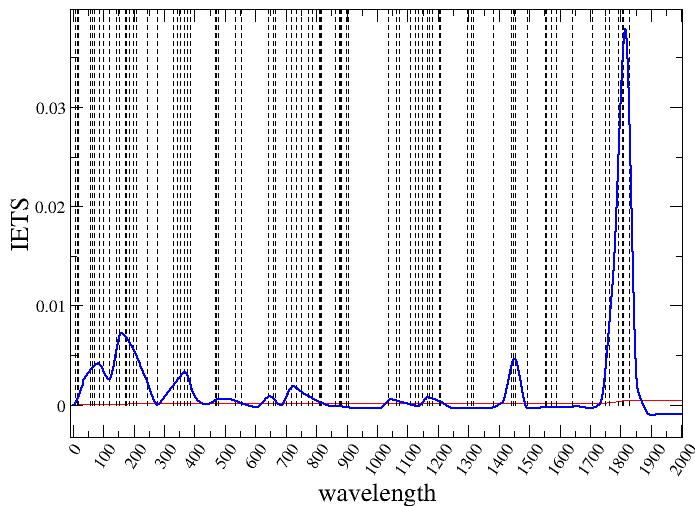
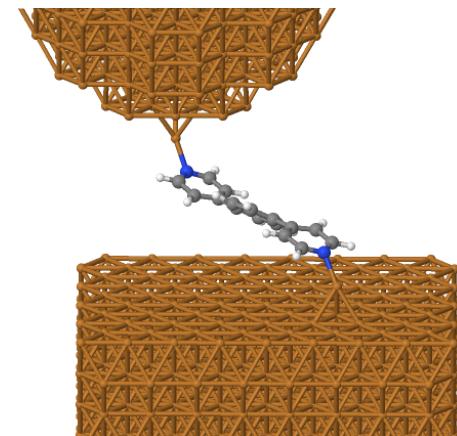
1. Atomistic Generator



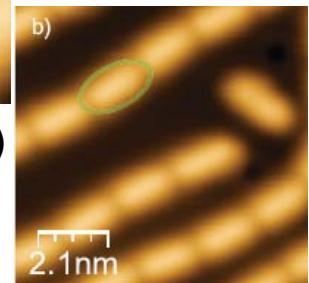
2. Starting guess



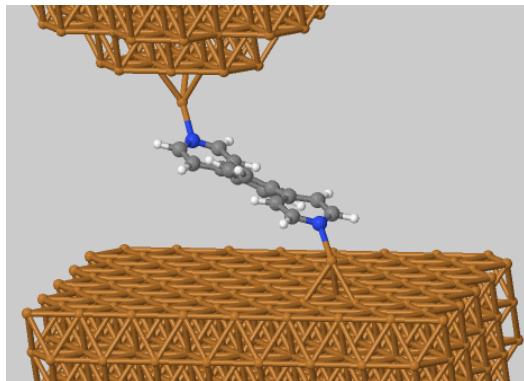
3. Geometry relaxations



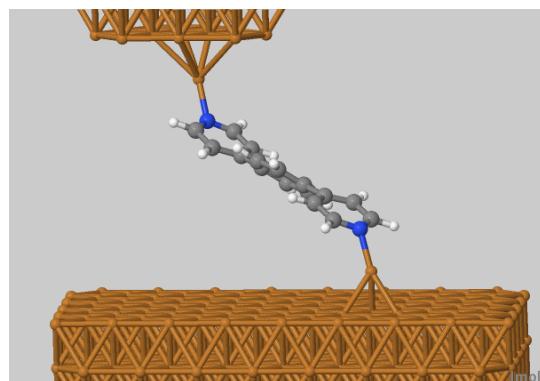
(Gunnar Shulze PhD Thesis)



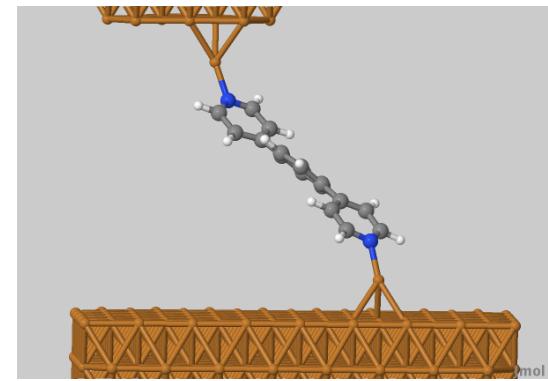
1 nm distance



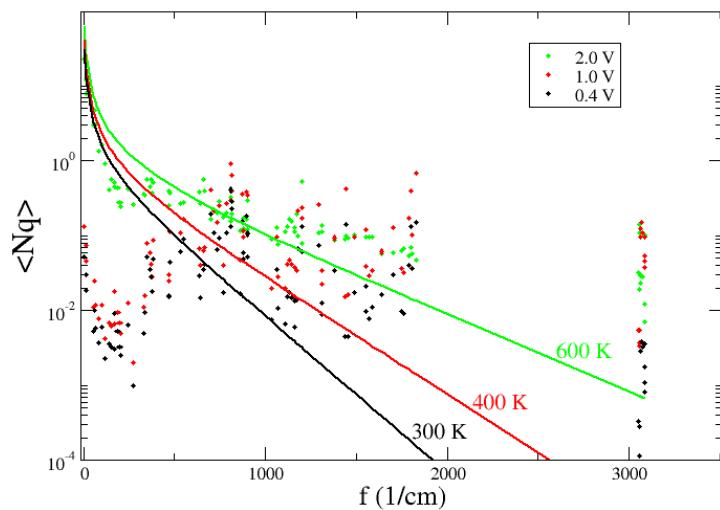
1.2 nm distance



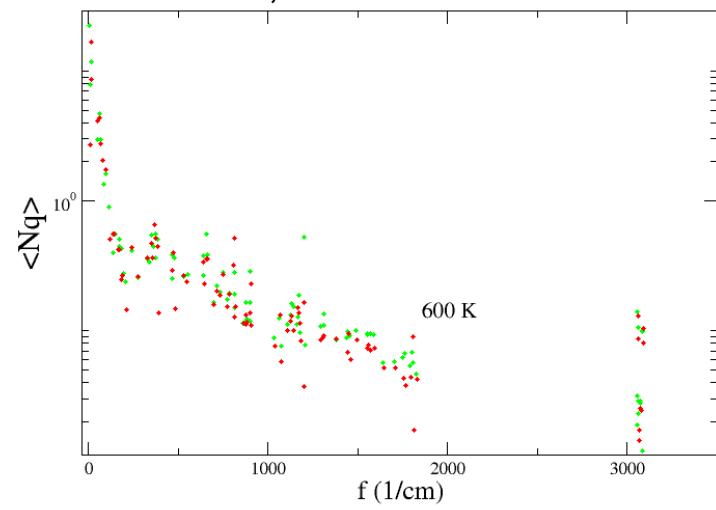
1.4 nm distance

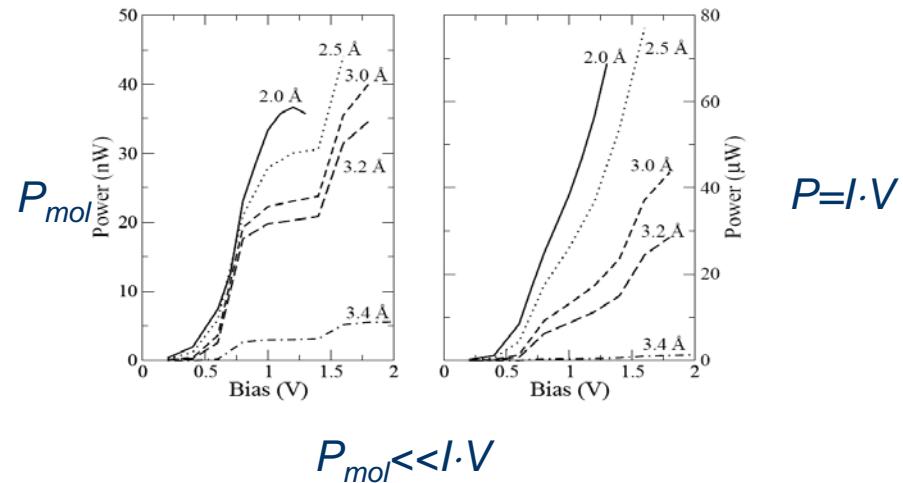
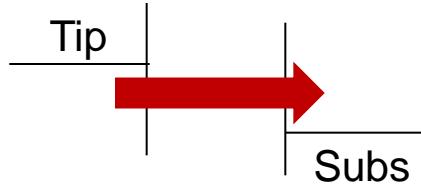


T vs V at 1.0 nm

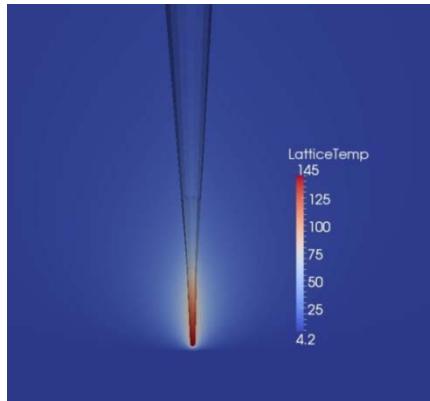


$d=1.0, 1.4 \text{ nm}$ at 2.0 V



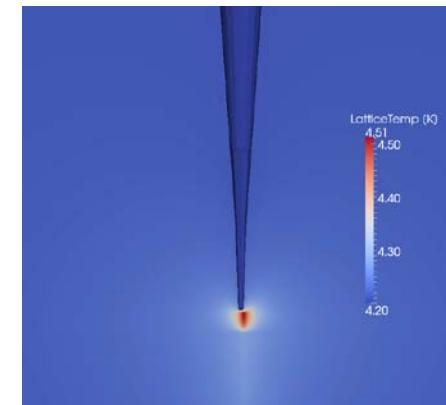


Most of the power is dissipated in the contacts



Fourier dissipation

Large heating of the tip
reduced thermal conductance due to size effects



Low heating of the substrate

DFTB is a versatile intermediate method bridging between ab-initio and empirical potentials for electronic calculations, structural relaxations and transport (gDFTB).

- Consistent computational framework (geometries, electronics,...)
- Relatively fast but should improve SCC convergence
- Multigrid Poisson solver allows to study complicated device geometries
- Electron-phonon and heating effects can be included
- Electron-electron interactions (GW)... still in progress

1. A forthcoming release of dftb+ will contain NEGF (+examples, documentation...)
2. We are working at a general libNEGF to compute electronic densities and current in equilibrium and under bias (FEM / Atomistic)
3. dftb+ has been also included in TiberCAD and we would like to develop QM/MM schemes for nanodevices (interfaces, defects, ...)
4. Electron-phonon interactions in dftb+ (via libNEGF)
5. Applications to nanodevices
6. ...

Prof. Aldo Di Carlo

Matthias Auf Der Maur, Post Doc

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Alessio Gagliardi, Post Doc

Michail Povolotsky, Post Doc

Giuseppe Romano, PhD

Gabirele Penazzi, PhD

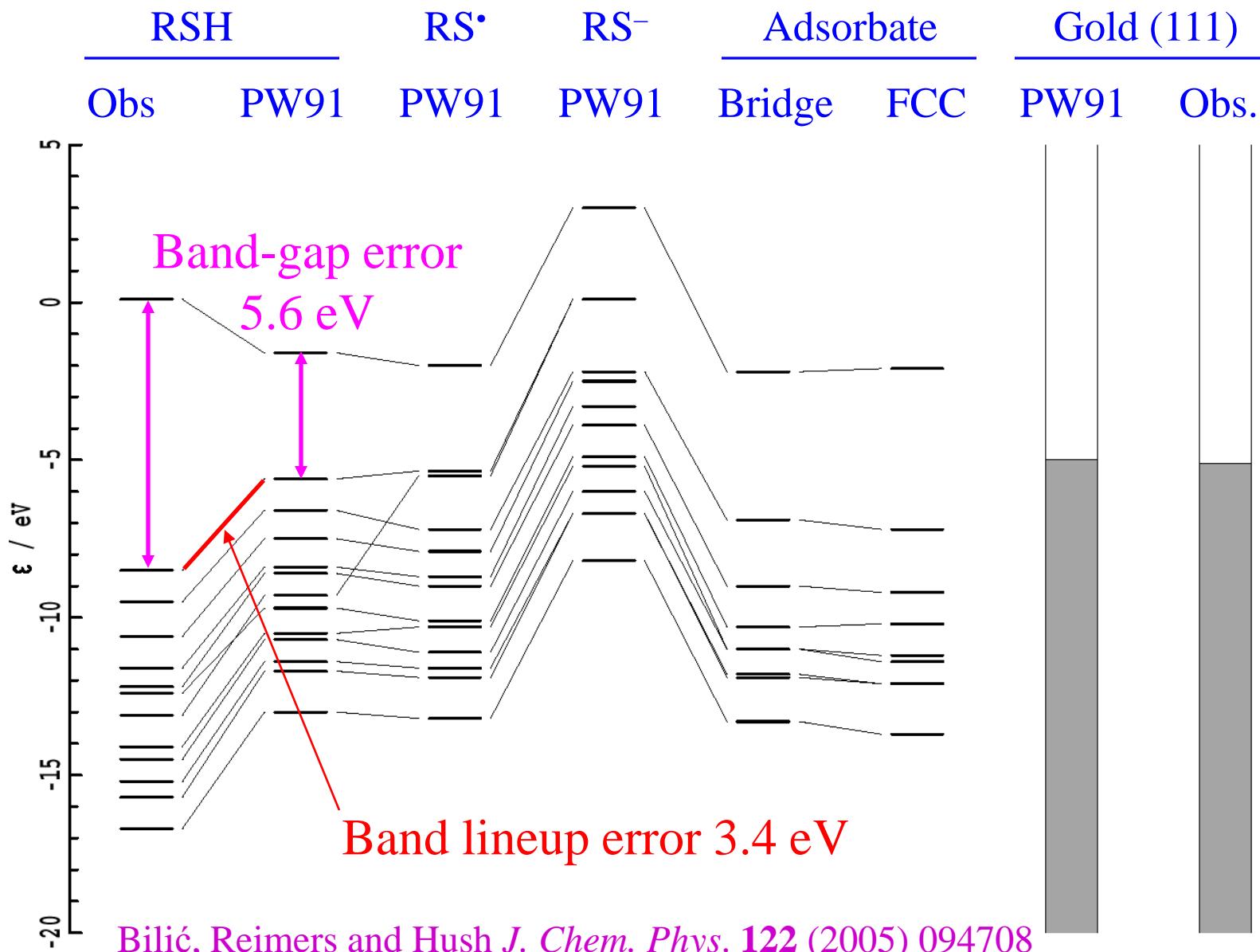
Marco Pacini, Student

Luca Salvucci, Student

Thank you

e-e correlations

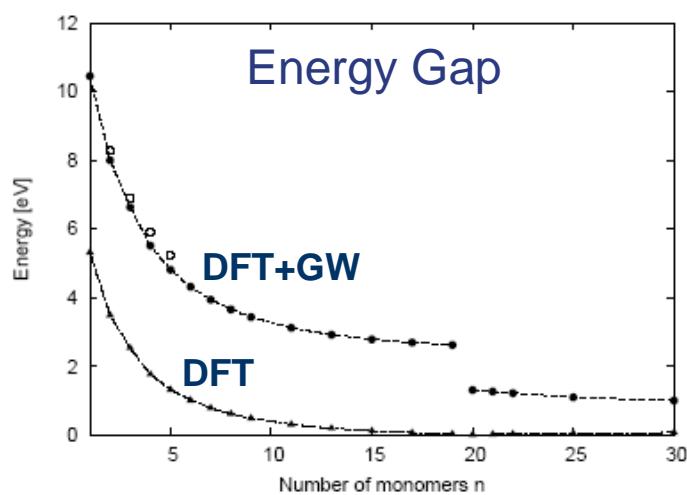
Level Alignment problem





T.A. Niehaus et al., Phys. Rev. A, (2005)

| State | Sym. | ϵ_x^i | | v_{xc}^i | | Σ_x^i | | Σ_c^i | | ϵ_{QP}^i | |
|------------|----------|----------------|-------|------------|--------|--------------|--------|--------------|-------|-------------------|-------|
| | | GTO | DFTB | GTO | DFTB | GTO | DFTB | GTO | DFTB | GTO | DFTB |
| Anthracene | | | | | | | | | | | |
| B_{3u} | π | -7.97 | -7.62 | -12.98 | -12.25 | -16.32 | -15.61 | 1.98 | 2.27 | -9.33 | -8.71 |
| B_{2g} | σ | -7.85 | -7.28 | -13.07 | -12.17 | -16.40 | -15.32 | 2.01 | 1.90 | -9.15 | -8.53 |
| A_u | π | -6.82 | -6.78 | -13.12 | -12.24 | -15.81 | -15.11 | 1.38 | 1.66 | -8.12 | -7.98 |
| B_{1g} | π | -6.51 | -6.40 | -13.30 | -12.10 | -15.27 | -14.18 | 1.01 | 1.06 | -7.47 | -7.42 |
| B_{2g} | π | -5.30 | -5.51 | -13.28 | -12.18 | -14.90 | -14.37 | 0.58 | 0.88 | -6.34 | -6.82 |
| B_{3u} | π^* | -2.86 | -2.97 | -13.08 | -11.86 | -8.78 | -8.17 | -1.82 | -0.90 | -0.37 | -0.19 |
| A_u | π^* | -1.58 | -1.59 | -13.18 | -11.62 | -8.49 | -7.92 | -2.21 | -1.38 | 0.89 | 0.74 |
| B_{1g} | π^* | -1.25 | -1.28 | -12.89 | -11.63 | -7.63 | -7.34 | -2.54 | -1.83 | 1.47 | 1.18 |
| B_{3u} | π^* | -0.52 | 0.01 | -11.90 | -11.41 | -6.47 | -6.94 | -2.84 | -2.45 | 2.07 | 2.03 |

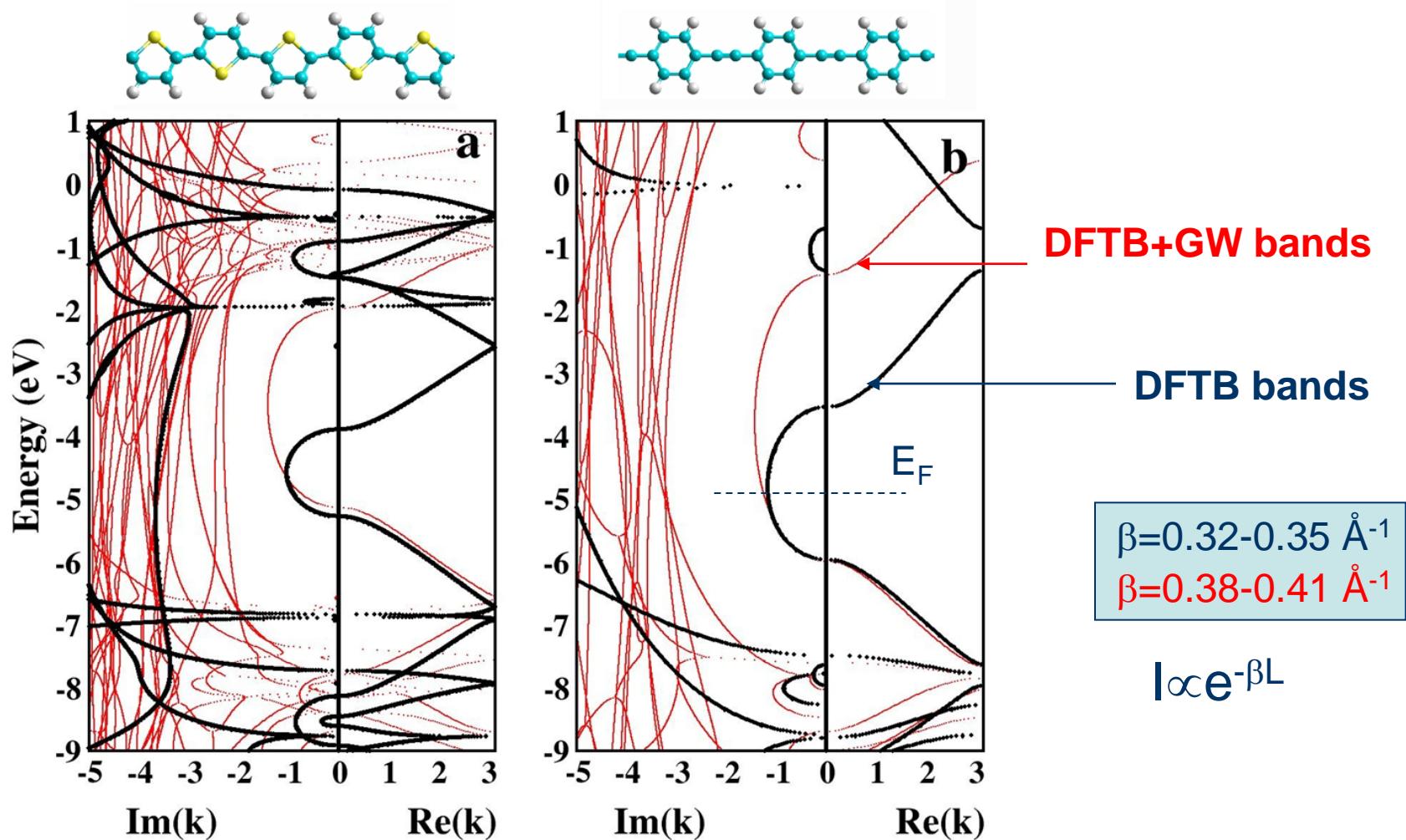


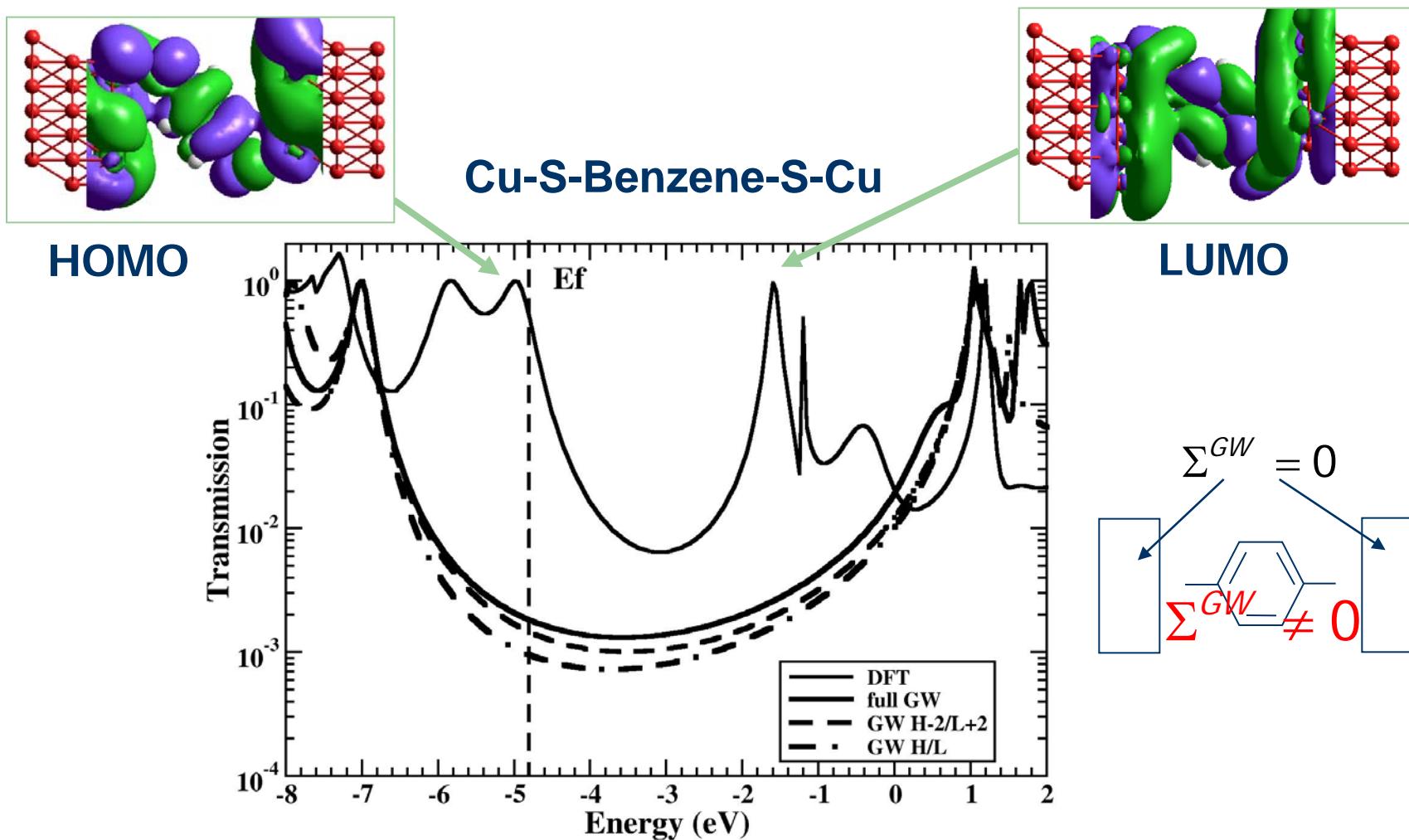
Very Good agreement on $\pi-\pi^*$

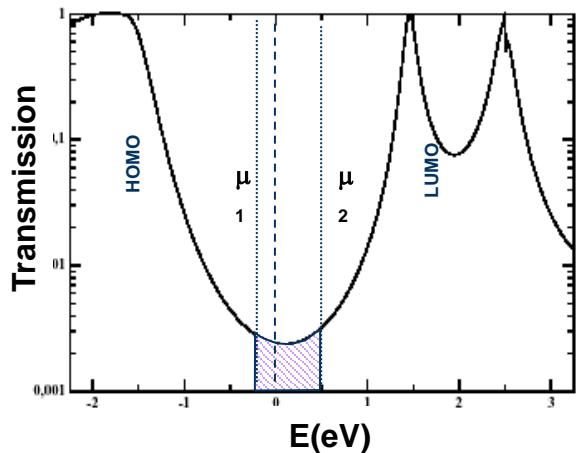
Worse on σ orbitals

Efficient GW:
Can compute more than 30 rings

Complex bandstructures





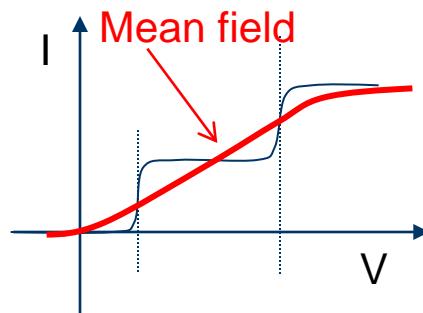
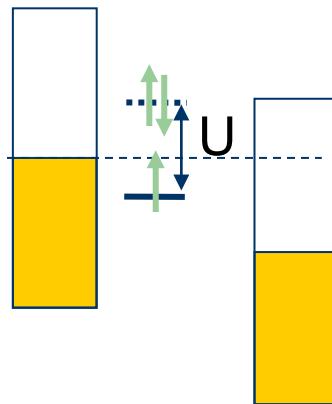


Landauer Formula for coherent transport:

$$I(V) = \frac{2e^2}{h} \int_{-\infty}^{+\infty} T(E, V) [f(E - \mu_1) - f(E - \mu_2)] dE$$

What this is NOT good at

Coulomb Blockades



$$H = \varepsilon n_{\uparrow} + \varepsilon n_{\downarrow} + U n_{\uparrow} n_{\downarrow}$$